

**RSPO PRINCIPLE AND CRITERIA
PUBLIC SUMMARY REPORT**

Initial Assessment

Annual Surveillance Assessment (Choose an item.)

Recertification Assessment (Choose an item.)

Extension of Scope

<p>Client Company name (Parent Company): Pertubuhan Tani Niaga Lestari Negeri Johor (PERTANIAGA)</p>
<p>Client company Address: Kanzu Knowledge Consultant, Left Wing, Susur Syed Alwi 4 Research Management Centre, Universiti Tun Hussein Onn Malaysia, 86400, Parit Raja, Batu Pahat, Johor, Malaysia</p>
<p>Certification Unit: Pertubuhan Tani Niaga Lestari Negeri Johor (PERTANIAGA)</p> <p>Location of Certification Unit: Kanzu Knowledge Consultant, Left Wing, Susur Syed Alwi 4 Research Management Centre, Universiti Tun Hussein Onn Malaysia, 86400, Parit Raja, Batu Pahat, Johor, Malaysia</p>
<p>Date of Final Report: 21/08/2021</p>

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Section 1: Scope of the Assessment

1. Company Details			
Parent Company	Pertubuhan Tani Niaga Lestari Negeri Johor (PERTANIAGA)		
RSPO Membership Number	1-0308-20-000-00	Membership Approval Date	10/12/2020
Address	Kanzu Knowledge Consultant, Left Wing, Susur Syed Alwi 4, Research Management Centre, Universiti Tun Hussein Onn Malaysia, 86400, Parit Raja, Batu Pahat, Johor, Malaysia		
Palm Oil Mill / Group Manager / Estate (Certification Unit)	Pertubuhan Tani Niaga Lestari Negeri Johor (PERTANIAGA)		
Location / Address	Kanzu Knowledge Consultant, Left Wing, Susur Syed Alwi 4, Research Management Centre, Universiti Tun Hussein Onn Malaysia, 86400, Parit Raja, Batu Pahat, Johor, Malaysia		
Website	-		
Management Representative	Mohd Faridz Bin Harith	E-mail	fhpertaniaga@gmail.com
Telephone	+6019-763 6605	Facsimile	-

2. Certification Information			
Certificate Number	RSPO 745410	Certificate Start Date	21/08/2021
Date of First Certification	21/08/2021	Certificate Expiry Date	20/08/2026
Scope of Certification	Production of Sustainable Oil Palm Fruits		
Visit Objectives	Determination of the conformity of the client's management system, or parts of it, with audit criteria and evaluation of the ability of the management system to ensure the client organization meets applicable statutory, regulatory and contractual requirements.		
Assessment Cycle	<input type="checkbox"/> Pre Assessment (Choose an item.) <input checked="" type="checkbox"/> Initial Assessment <input type="checkbox"/> Annual Surveillance Assessment (ASA Choose an item.) <input type="checkbox"/> Recertification Assessment (Choose an item.) <input type="checkbox"/> Scope Extension		
Applicable Standards / Normative Reference	RSPO Certification System for P&C and RSPO ISH 2020 <input checked="" type="checkbox"/> RSPO Independent Smallholders Standard 2019 <input type="checkbox"/> Choose an item. National Interpretation Choose an item. for RSPO P&C 2018 for the Production of Sustainable Palm Oil		
Supply Chain Module	<input checked="" type="checkbox"/> Identity Preserved; <input type="checkbox"/> Mass Balance	Mill Capacity	N/A
ISH certification Phase	<input type="checkbox"/> Eligibility <input type="checkbox"/> Milestone A <input checked="" type="checkbox"/> Milestone B <input type="checkbox"/> Not Applicable		

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3. Other Certifications			
Certificate Number	Standard(s)	Certificate Issued by	Expiry Date
N/A	N/A	N/A	N/A

4. Location(s) of Mill & Supply Bases			
Name (Mill / Supply Base / Group Manager / Smallholders)	Location	GPS Coordinates	
		Latitude	Longitude
Pertubuhan Tani Niaga Lestari Negeri Johor (PERTANIAGA) – 107 members	Kanzu Knowledge Consultant, Left Wing, Susur Syed Alwi 4, Research Management Centre, Universiti Tun Hussein Onn Malaysia, 86400, Parit Raja, Batu Pahat, Johor	1°51'40.31"N	103°05'23.91"E

5. Description of Supply Base					
New Planting Development	<input checked="" type="checkbox"/> No (no change in total planted area)		<input type="checkbox"/> Yes (please refer to Principle 7 for details)		
Estate / Smallholders	Total Planted (Mature + Immature) (ha)	HCV (ha)	Infrastructure & Other (ha)	Total Area (ha)	% of Planted
PERTANIAGA	151.36	0	0	151.36	100
Total	151.36	0	0	151.36	100

6. Plantings & Cycle							
Estate / Smallholders	Age (Years)					Mature	Immature
	0 - 3	4 - 10	11 - 20	21 - 25	26 - 30		
PERTANIAGA	-	26.82	89.32	27.72	7.50	151.36	-
Total (ha)	-	26.82	89.32	27.72	7.50	151.36	-

Note:

7. Summary of Certified Tonnage of FFB (Own Certified Scope)				
Estate / Smallholders	Tonnage / year			
	Estimated last year (N/A)	Actual (N/A)		Forecast (Aug 21 – Jul 21)
		Previous license period (N/A)	Current license period (N/A)	
PERTANIAGA	N/A	N/A	N/A	2,448.86

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Total	N/A	N/A	2,448.86
Note:			

8. Summary of Certified Tonnage of FFB (from other certified unit(s))				
Estate / Smallholders	Tonnage / year			
	Estimated last year (N/A)	Actual (N/A)		Forecast (Aug 21 – Jul 21)
		Previous license period (N/A)	Current license period (N/A)	
N/A		N/A	N/A	
Total		N/A		
Note:				

9. Summary of Non-Certified Tonnage of FFB (outside supplier – excluded from certificate)				
Out growers / smallholders	Tonnage / year			
	Estimated last year (N/A)	Actual (N/A)		Forecast (Aug 21 – Jul 21)
		Previous license period (N/A)	Current license period (N/A)	
N/A	N/A	N/A	N/A	N/A
Total	N/A	N/A		N/A
Note:				

9A. Monthly Records of Certified and Uncertified FFB Received since the last audit				
No.	Month - Year	Volume of FFB from certified supply base (mt)	Volume of FFB from uncertified supply base (mt)	Total FFB/Month (mt)
1	N/A	N/A	N/A	N/A
	TOTAL	N/A	N/A	N/A
Note:				

10. Summary of Certified Tonnage (not applicable for ISS)			
Estimated last year (N/A)	Actual (N/A)		Forecast (N/A)
FFB	FFB		FFB
N/A	<i>Previous license period (N/A)</i>	<i>Current license period (N/A)</i>	N/A

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	N/A	N/A	
CPO (OER: %)	CPO (OER: %)		CPO (OER: %)
N/A	N/A	N/A	N/A
PK (KER: %)	PK (KER: %)		PK (KER: %)
N/A	N/A	N/A	N/A
Note:			

10A. Monthly Records of Certified CPO & PK since the last audit			
No.	Month - Year	Certified CPO (mt)	Certified PK (mt)
1	N/A	N/A	N/A
TOTAL		N/A	N/A
Note:			

11. Summary of Actual Volume sold					
Current License period (N/A)					
	RSPO Certified	Other Schemes Certified		Conventional	Total
		ISCC	Others		
CPO (MT)	N/A	N/A	N/A	N/A	N/A
PK (MT)	N/A	N/A	N/A	N/A	N/A
Credits	N/A	N/A	N/A	N/A	N/A
Previous License period (N/A)					
CPO (MT)	N/A	N/A	N/A	N/A	N/A
PK (MT)	N/A	N/A	N/A	N/A	N/A
Credits	N/A	N/A	N/A	N/A	N/A
Note:					

11A. Records of Certified CPO & PK Sold under PalmTrace since the last audit (if any)				
No.	Buyers Name	Palmtrace Trading License Number	Certified CPO Sold (mt)	Certified PK Sold (mt)
1.	N/A	N/A	N/A	N/A
TOTAL			N/A	N/A
Note:				

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11B. Records of CPO & PK Sold under other schemes since the last audit (if any)				
No.	Buyers Name	Scheme Name	CPO Sold (mt)	PK Sold (mt)
1.	N/A	N/A	N/A	N/A
TOTAL			N/A	N/A
Note:				

11C. Records of CPO & PK Sold as conventional since the last audit (if any)			
No.	Buyers Name	CPO Sold (mt)	PK Sold (mt)
1.	N/A	N/A	N/A
TOTAL		N/A	N/A
Note:			

11D. Records of Certified CPO Sold under RSPO Credits since the last audit (if any)			
No.	Buyers Name	PalmTrace Trading License Number	RSPO Credits of Certified CPO Sold (mt)
1.	N/A	N/A	N/A
TOTAL			N/A
Note:			

12. Independent Smallholders Certified Tonnage / Volume									
Phase	Estimated last year (N/A)			Actual (N/A)			Forecast (Aug 21 – Jul 21)		
	Eligibility	MS A	MS B	Eligibility	MS A	MS B	Eligibility	MS A	MS B
	40%	70%	100%	40%	70%	100%	40%	70%	100%
FFB			N/A			N/A			2,448.86
IS-CSPO	N/A	N/A		N/A	N/A		N/A	N/A	
IS-CSPKO	N/A	N/A		N/A	N/A		N/A	N/A	
IS-CSPKE	N/A	N/A		N/A	N/A		N/A	N/A	

13. Independent Smallholders Actual Sold Tonnage / Volume						
	FFB	FFB Conventional	FFB Other schemes	IS-CSPO	IS-CSPK	IS-CSPKE
Current License period (N/A)						
Credits				N/A	N/A	N/A
Physical	N/A	N/A	N/A			

Section 2: Assessment Process

Certification Body:

BSI Services Malaysia Sdn. Bhd. (ASI Accreditation Number: ASI-ACC-067)
Suite 29.01 Level 29, The Gardens North Tower,
Mid Valley City, Lingkaran Syed Putra,
59200 Kuala Lumpur, Malaysia.
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BSI is a leading global provider of management systems assessment and certification, with more than 92,000 certified locations and clients in over 193 countries. BSI is the UK's National Standards Body. BSI provides independent, third-party certification of management systems. BSI is ASI Accredited (ASI-ACC-067) to conduct RSPO assessment since 31/10/2014 with accredited office located at Kuala Lumpur, Malaysia.

2.1 Assessment Methodology, Programme, Site Visits

The on-site assessment was conducted on 19/05/2021 – 21/05/2021. The audit programme is included as Section 2.3. Where applicable, prior to the initial certification and recertification audit, 30 days Public Notification was made through the RSPO and BSI website on 15/04/2021.

The approach to the audit was to treat the independent smallholders as an RSPO Certification Unit. A range of occupational health and safety, environmental, best practice management, and social factors were covered. This includes consideration of topography, palm age, proximity to areas with HCVs, declared conservation areas, local communities engagement and workers welfare and safety.

The Critical NC close out on-site assessment was conducted on 15/07/2021. The closure of the NC was done via desktop verification as documented evidence deemed to be adequate to close out the NC.

The methodology for collection of objective evidence included physical site inspections, observation of tasks and processes, interviews of staff, workers and their families and external stakeholders, review of documentation and monitoring data. Independent Smallholder Standard 2019 was used as the normative reference to assess compliance. The comments made by external stakeholders were also taken into account in the assessment.

The minimum sample size is four estates. Sample size for certification unit with more than four (4) estates were determined based on formula $N = (\sqrt{y}) \times (z)$ where y is the number of estates and where z is the multiplier defined by risk assessment. While, the sampling of smallholders were based on the formula $(\sqrt{y}) \times (z)$; where y is total number of group member and where z is the multiplier defined by the risk assessment. The sampled smallholder listed in Appendix E.

Meetings were held with stakeholders to seek their views on the performance of the company with respect to the RSPO requirements and aspects where they considered that improvements could be made. At the start of each meeting, the interviewer explained the purpose of the audit followed by an evaluation of the relationship between the stakeholder and the company before discussions proceeded. The interviewer recorded comments made by stakeholders and these have been incorporated into the assessment findings.

Structured worker interviews with male and female workers and staff were held in private at the workplace in the mill and the estates. Fieldworkers were interviewed informally in small groups in the field. In addition, the wives of workers and staff were interviewed in informal group meetings at their housing. Separate visits were made to each of the local communities to meet with the village head and residents. Company officials were not present at any of the internal or external stakeholder interviews. A list of Stakeholders contacted is included as Section 3.4.

The non-conformities for this audit are detailed in Section 3.3 and unless it is stated in this section, all previous nonconformities including minor nonconformities are remains closed.

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This report is structured to provide a summary of assessment finding as provided in Appendix A. The assessment was conducted based on risk based approach sampling and therefore nonconformities may exist.

For Initial, Re-certification and Extension of Scope assessment, the report was externally reviewed by approved external peer reviewer prior to certification decision by BSI.

For Annual surveillance assessment, the report was internally reviewed and approved by BSI qualified certification reviewer.

The following table would be used to identify the locations to be audited each year in the 5 year cycle

Assessment Program					
Name (Mill / Supply Base)	Year 1 (Certification)	Year 2 (ASA 1)	Year 3 (ASA 2)	Year 4 (ASA 4)	Year 5 (ASA 5)
Pertubuhan Tani Niaga Lestari Negeri Johor (PERTANIAGA) – 107 members	X	X	X	X	X

Tentative Date of Next Visit: May 16, 2022 - May 18, 2022

Total Number of Mandays: 9.0

2.2 BSI Assessment Team

Name	Role	Competency
Valence Shem (VSH)	Team Leader	<p>Education: He holds Bachelor Degree in Industrial Technology from University of Science Malaysia</p> <p>Work Experience: He has 9 years working experience in oil palm plantation industry. He has been in the management system auditing line since 2009 for various standards such as ISO 140001, RSPO P&C, RSPO SCCS, MSPO and SMETA.</p> <p>Training attended: He completed the ISO 14001 Lead Auditor Course, ISO 9001 Lead Auditor Course, Endorsed RSPO P&C Lead Auditor Course, Endorsed RSPO P&C Refresher Course, Endorsed RSPO SCCS Lead Assessor Course, Endorsed RSPO SCCS Refresher Course, MSPO Awareness Training, ISO 45000 Lead Auditor Course Introduction to HCV, HCS & GIS training and SMETA requirement training..</p> <p>Aspect covered in this audit: During this assessment, he covers the aspects on Internal control system, legal requirements, environment, traceability, and HCV.</p> <p>Language proficiency: He is fluent in Bahasa Malaysia and English.</p>
Mohamed Hidhir Zainal Abidin (MHZ)	Team Member	<p>Education: He holds Bachelor Degree in Chemical Engineering from National University of Malaysia</p> <p>Work Experience: He has 7 years working experience in palm oil industry and 5 years specifically on palm oil milling. He is also experiences third party auditing for several standards including ISO 9001, ISO 140001, OHSAS 18001, MSPO, RSPO P&C, RSPO SCCS, RBA.</p> <p>Training attended: He completed the ISO 9001 Lead Auditor Course, ISO 14001 Lead Auditor Course, OHSAS 18001 Lead Auditor Course, Endorsed RSPO P&C Lead Auditor Course, Endorsed RSPO P&C Refresher Course, MSPO Awareness Training,</p>

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		<p>Endorsed RSPO SCCS Lead Auditor Course, Endorsed RSPO SCCS Refresher Course, Introduction to HCV, HCS & GIS training and SMETA requirement training.</p> <p>Aspect covered in this audit: During this assessment, he assessed on the aspects of social, legal requirements, employee welfare and stakeholder consultation.</p> <p>Language proficiency: He is fluent in Bahasa Malaysia and English.</p>
Muhamad Naqiuddin Mazeli (MNM)	Team Member	<p>Education: He holds Bachelor Degree in Science majoring Horticulture from University Putra Malaysia</p> <p>Work Experience: He has 11 years working experience in oil palm plantation industry managing the implementation of sustainability standards including RSPO, ISCC, MSPO and other certification standards such as ISO9001 and ISO 18001 for the estates, mills, refineries and Smallholder. He is a trained as Safety and Health Officer.</p> <p>Training attended: He completed the ISO 9001 Lead Auditor Course, ISO14001 Lead Auditor Course, ISO45001 Lead Auditor Course, Endorsed RSPO P&C Lead Auditor Course, Endorsed RSPO P&C Refresher Course, MSPO Awareness Training, Endorsed RSPO SCCS Lead Auditor Course, Endorsed RSPO SCCS Refresher Course, Introduction to HCV, HCS & GIS training, and SMETA requirement training.</p> <p>Aspect covered in this audit: During this assessment, he assessed on the aspects of Good agriculture practices, legal requirements, occupational health & safety, training, environment and continual improvement.</p> <p>Language proficiency: He is fluent in Bahasa Malaysia and English.</p>
Suhaili Sahari	Peer Reviewer	<p>Education: He holds a Bachelor Degree in Science majoring Industrial Chemistry from Liverpool University. He holds a Master Degree in Business Administration from Multimedia University, Malaysia. He holds a PhD in Economics & Muamalat from University Science Islam Malaysia</p> <p>Work Experience: He has more than 25 years' experience in training, teaching, consulting, quality and production for both manufacturing and plantation operation as well as education industry. He has experience conducting ISO, HACCP, Euro GAP, GLOBALG.A.P., RSPO, MSPO, Environment and Food Safety audits</p> <p>Training attended: He has completed Endorsed RSPO P&C Lead Auditor Course and RSPO/ASI Peer reviewer training.</p>

Accompanying Persons:

Name	Role
Hu Ning Shing	Observer

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2.3 Assessment Plan

The Assessment plan was sent to the client prior to the assessment.

Date	Time	Subjects	VSH	MHZ	MNM
Wednesday 19/05/2021	0900-0930	Opening meeting: <ul style="list-style-type: none"> Opening presentation by audit team leader Confirmation of assessment scope and finalize audit plan (including stakeholder's consultation) 	✓	✓	✓
	0930-1300	Group Manager, Client's office <ul style="list-style-type: none"> Review of members' profiles & key management documents Document Audit: Public documents, SOPs, Policies, Internal audit, Production & traceability requirements, FFB pricing, legal and other requirements, review on SEIA documents and records, wage records, complaint records, workers records, training records, permits, continual improvement plan, etc. 	✓	✓	✓
	1300-1400	Lunch break			
	1400-1630	Group Manager, Client's office <p>Document review: Land use type, customary right land, complaints and grievance, safe work practices, employment conditions, training and competency, environmental management plan, energy usage, waste management plan, natural water resources, HCV, ERT awareness, zero burning, best practices, site management and development of new planting (if any)</p>	✓	✓	✓
	1630-1700	Interim closing briefing			
Thursday 20/05/2021	0900-1300	Field visit at 12 smallholders' plantations <ul style="list-style-type: none"> Interview with smallholders Observation on harvesting, agrochemicals and fertilisers application, land use rights, land conflict, boundary demarcation, riparian zone protection, wastes management, occupational safety risk management, etc. 	✓	✓	✓
	1300-1400	Lunch break			
	1400-1700	Continue with field visit	✓	✓	✓
Friday 21/05/2021	0900-1130	Continue with documents audit of any outstanding elements from Day 1	✓	✓	✓
	1000-1130	Stakeholders consultation (surrounding communities, government agencies, contractors, vendors, NGO, etc.)	-	✓	-
	1130-1200	Audit team discussion & preparation for closing meeting	✓	✓	✓
	1200-1430	Lunch break and Friday prayer			
	1430-1500	Closing meeting	✓	✓	✓

Section 3: Assessment Findings

3.1 Multiple Management Units and Time Bound Plan

Requirement	Assessment	Compliance
Does the time bound plan include all current subsidiaries, estates and mills that is under the control and/or minor shareholding of the holding company?	Not applicable for independent smallholder certification.	Choose an item..
Have all the estates and mills certified within five (5) years after obtaining RSPO membership?	Not applicable for independent smallholder certification.	Choose an item.
Have there been any new acquisitions? If yes, the new acquisitions shall be certified within three (3) years from the date of acquisition. Certification plan for the new acquisition shall be available.	Not applicable for independent smallholder certification.	Choose an item.
Any deviations from the maximum periods requires approval by the RSPO Secretariat.	Not applicable for independent smallholder certification.	Choose an item.
Have there been any changes to the time-bound plan since the last audit (both new acquisition and existing)? If yes, justification is required. Is this consistent with the ACOP reporting?	Not applicable for independent smallholder certification.	Choose an item.
Have there been any isolated lapses in implementation of the plan? If yes a Minor non-compliance shall be raised	Not applicable for independent smallholder certification.	Choose an item.
Have there been any fundamental failure (e.g. unable to justify delay in planning the assessments) to proceed with implementation of the plan? If yes a Major non-compliance shall be raised	Not applicable for independent smallholder certification.	Choose an item.
Un-Certified Units or Holdings		
No replacement of primary forest or any area required to maintain or enhance HCVs and HCS in accordance with RSPO P&C criterion 7.12.	Not applicable for independent smallholder certification.	Choose an item.
Any new plantings since January 1 st 2010 shall comply with the RSPO New Plantings Procedure.	Not applicable for independent smallholder certification.	Choose an item.
Any Land conflicts are being resolved through a mutually agreed process, such as RSPO Complaints System or Dispute Settlement Facility, in accordance with RSPO P&C criteria 4.4, 4.5, 4.6, 4.7 and 4.8.	Not applicable for independent smallholder certification.	Choose an item.
Any Labor disputes are being resolved through a mutually agreed process, in accordance with RSPO P&C criterion 4.2	Not applicable for independent smallholder certification.	Choose an item.
Any Legal non- compliance is being addressed through measures consistent with the requirements of RSPO P&C criteria 2.1	Not applicable for independent smallholder certification.	Choose an item.

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Did the company conduct internal audit for those uncertified estates against the uncertified management units requirement and covering the RSPO P&C criterion 2.1 4.2, 4.4, 4.5, 4.6, 4.7, 4.8 and 7.12? If yes, a positive assurance statement shall be available and justified.	Not applicable for independent smallholder certification.	Choose an item.
Are there any Critical (Major) non-compliance raised against any of the RSPO P&C criterion 2.1 4.2, 4.4, 4.5, 4.6, 4.7, 4.8 and 7.12 during the last internal audit of the uncertified estates? If yes is the NC(s) actively addressed with RSPO?	Not applicable for independent smallholder certification.	Choose an item.
Have there been any stakeholder (including NGO) consultation conducted?	Not applicable for independent smallholder certification.	Choose an item.

3.2 Progress of scheme smallholders and/or outgrowers

Progress of scheme smallholders or outgrowers towards compliance with relevant standards		
Requirement	Remarks	Compliance
Has 100% of scheme smallholders and/or scheme outgrowers comply with the standard within three years of the mill's initial certification? OFI shall be raised if after one year where 100% of the scheme smallholders and scheme outgrowers are not in compliance, a minor NC after two years, and a major NC if this requirement is not met after three years.	Not applicable for independent smallholder certification.	Choose an item.

Approved Time Bound Plan

Not Applicable

3.3 Details of Nonconformities

The nonconformities are listed below. The findings summary of the assessment by criteria are listed in Appendix A.

During this Assessment there were three (3) Critical; zero (0) Minor nonconformities and one (1) Opportunity For Improvement raised. The Pertubuhan Tani Niaga Lestari Negeri Johor (PERTANIAGA) Certification Unit Certification unit submitted Corrective Action Plans for the nonconformity. Corrective action plans with respect to the nonconformity was reviewed by the BSI audit team and accepted.

The implementation of the corrective action plans to address the minor nonconformity will be followed up during the next surveillance assessment. The implementation of the Corrective Actions for the Critical Nonconformity(ies) has been verified for its effectiveness and closed accordingly. The below is the summary of the non-conformity raised during this assessment.

Non-conformity			
NCR Ref #	2059882-202105-M1	Date Issued	21/05/2021
Due Date	20/05/2022	Date of nonconformity Closure	15/07/2021
Clause & Category (Critical / Minor)	3.1 Milestone A (Critical)		
Statement of Nonconformity:	Implementation of measure to ensure voluntary work and prohibited labour practices was not effectively demonstrated.		
Requirement Reference:	Smallholders complete training on free and fair labour and implement measures to ensure that all work is voluntary, and the following practices are prohibited: <ul style="list-style-type: none"> • Retention of identity documents including but not limited to passports; • Payment of recruitment fees by workers; • Contract substitution; • Involuntary overtime; • Lack of freedom of workers to resign; • Penalty for termination of employment; • Debt bondage; • Withholding of wages 		
Objective Evidence:	Sample of one contractor's worker under Seteguh Enterprise (collection centre), was not registered under the company. Furthermore, no effective measures to monitor casual worker used by smallholders and workers registered under collection centre to ensure legality of workers prior to engagement.		
Corrections:	Discontinue the services of any local or foreign workers that do not have legal documentation and permit (foreign worker).		
Root Cause Analysis:	1) Due to shortage of labour at Collection Center, Collection Center have arranged with other company to support the demand of workers for farms operation 2) Lack of monitoring due to no mechanism of workers registration has yet to be established for casual workers in farm operation at Collection Center.		
Corrective Actions:	1) To establish procedure of the mechanism of workers registration. All CC/Agent, whose workers will work in any of PERTANIAGA smallholders' farms or workers		

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	<p>who will directly without intermediary such as CC / agent, will be required to register with PERTANIAGA via PERTANIAGA Workers Registered Database (G1) and provide proof of documents that these workers will work legally and voluntarily. The list of workers will be updated in PERTANIAGA Workers Registered Database (G1).</p> <p>2) PERTANIAGA will enhance our Social Policy and seek feedback from external consultant / expert/ advisor before circulating the Policy to all members and 3rd party service providers. This policy will be communicated, acknowledged and accepted by our members once they have read and understand the policy. For 3rd party service providers, this is required when they register in PERTANIAGA Workers Registered Database (G1).</p>
Assessment Conclusion:	<p>Evidence verified:</p> <ol style="list-style-type: none"> 1) Memos dated 28/06/2021 to all the labour providers that show the service of any workers without legal documents and work permit has been discontinued 2) A procedure entitled "PERTANIAGA Workers Registered SOP" describing the mechanism to register workers. This includes collecting information about the legality status of a worker and update it in the registration database template. 3) The latest updated "PERTANIAGA Workers Registered Database (G1)" which has the information about group members names, labour provider, workers name, nationality, ID/passport No., work permit, date of birth, to name a few 4) A memo dated 11/06/2021 to all the group members informing them about the importance to adhere all the 17 policies related to RSPO established by the Group Manager. The acknowledgement of receipt of the memo had been done by the members through Google Form. <p>The evidence of correction and corrective actions implementation was found to be adequate to close the NCR. The continuous effective implementation shall be verified in the next assessment.</p>

Non-conformity			
NCR Ref #	2059882-202105-M2	Date Issued	21/05/2021
Due Date	20/05/2022	Date of nonconformity Closure	15/07/2021
Clause & Category (Critical / Minor)	D.1.1 Eligibility (Critical)		
Statement of Nonconformity:	The procedure for the tracking of FFB has yet to be established.		
Requirement Reference:	Record sheets to track the annual production and sales of certified volumes, covering traceability of producers and/or traders are available.		
Objective Evidence:	Based on site visit, it was observed that there is a risk that the potential certified FFB and non-certified FFB to be mixed especially during transportation from field to collection centre. However, the procedure for the tracking of certified FFB whilst taking this risk into consideration has yet to be established.		
Corrections:	1) Farm: Put Signage for certified FFB (upon harvesting)		

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	<ol style="list-style-type: none"> 2) Transportation from farm to CC: Segregate FFB by <ol style="list-style-type: none"> i) separating the FFB using divider (e.g. frond, canvas, hardboard) in the lorry bucket and weighing will be done separately ii) collecting separately certified/non-certified 3) Internal Memo to communicate items no 1 and 2 to farmers and Collection Center members of PERTANIAGA. 4) Producer group-in-charge to monitor the certified FFB weigh according to the receipt (by Collection Center) monthly for all members of PERTANIAGA.
Root Cause Analysis:	No SOP on the FFB segregation at farm, transportation and weighing process.
Corrective Actions:	<ol style="list-style-type: none"> 1) Develop SOP on FFB segregation and standard designated space for certified FFB and circulated to all farmers and respective Collection Centre, as well as Collection Center members of PERTANIAGA. 2) Collection Center to recognise the certified FFB and remark RISS certified farmers members of PERTANIAGA in their system. 3) PERTANIAGA (Treasurer and Exco Economy) to monitor record keeping for certified FFB segregation quarterly for all members of PERTANIAGA.
Assessment Conclusion:	<p>Evidence verified:</p> <ol style="list-style-type: none"> 1) A picture of the "Certified FFB" signage to label the certified FFB at the field collection platforms 2) Pictures of hardboard being used to segregate certified and non-certified FFB in a lorry bucket and a picture of a motorbike mounted with bucket to send the certified FFB in the event that the quantity of FFB is practically too small to be separated in a lorry bucket 3) Weighbridge ticket dated 25/05/2021 (ticket no.: 48485) that shows certified and non-certified FFB were separately collected. 4) Copy of the internal memo dated 11/06/2021 to farmers and collection centre with regards to segregation method of certified and non-certified FFB 5) Records that show the weight of certified FFB according to receipts from collection centre are being monitored by the producer group in-charge. 6) The SOP entitled "<i>Garis Panduan Pengasingan Buah Tandan Segar (BTS) di bawah Program Pekebun Kecil P&G</i>" (Guideline to Sperate FFB under P&G Smallholders Programme) which describes the method of FFB segregation in the field and at the collection centre. 7) A memo (Subject: Prosedur Operasi Standard Pengasingan Buah Tandan Segar) to all that shows the FFB segregation SOP has been circulated to all farmers and collection centres 8) An excel sheet records that show the segregation of certified FFB is being monitored by the Treasurer and Exco Economy <p>The evidence of correction and corrective actions implementation was found to be adequate to close the NCR. The continuous effective implementation shall be verified in the next assessment.</p>

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Non-conformity			
NCR Ref #	2059882-202105-M3	Date Issued	21/05/2021
Due Date	20/05/2022	Date of nonconformity Closure	15/07/2021
Clause & Category (Critical / Minor)	D.3.1 Eligibility (Critical)		
Statement of Nonconformity:	No record of agreement and communication of Premium distribution SOP.		
Requirement Reference:	The group and group manager have agreed on how the premiums should be used and the agreement is recorded and communicated to the group members. Prices, premiums, and timing of premium payment are clearly communicated and transparent to all group members. Premiums disbursed to members at all stages are recorded and the premiums are paid in a timely and convenient manner.		
Objective Evidence:	There was no evidence to show that agreement between group and group manager on how the premiums should be used has been established and recorded. The SOP on How Premium Distributed dated 20/05/2021 had been established. However, there is no evidence that the SOP has been communicated to the group members.		
Corrections:	Internal Memo and SOP will be circulated to Group 1 members. Upon distribution on these documents, PERTANIAGA to request smallholder to sign the agreement via google form. These documents will be kept in the individual farmers file in the form of hard copy.		
Root Cause Analysis:	Although SOP on premium distribution has been established on 20/5/2021, PERTANIAGA has not been able to engage with our members to circulate the SOP to all Group 1 members within the stipulated time to record their acceptance. This issue also was not detected during the Internal Audit.		
Corrective Actions:	PERTANIAGA will conduct online meeting by batch (as a follow-up session) to all Group 1 members to deploy and socialize the SOP on premium distribution. To revise the Internal Audit report format to include all indicator and requirement in the RISS.		
Assessment Conclusion:	<p>Evidence verified:</p> <ol style="list-style-type: none"> 1) A memo dated 11/06/2021 that shows the SOP on premium distribution have been communicated to all the group members. The memo is considered as an agreement between the group manager and the group members where the group members have signed via google form. 2) Revised Internal Audit Report formats/checklists (group manager and ISH farmers) that show all the indicators and requirements in the RISS have been included. The checklists have the information about the standard's requirements and objective evidence to be looked for. <p>The evidence of correction and corrective actions implementation was found to be adequate to close the NCR. The continuous effective implementation shall be verified in the next assessment.</p>		

Opportunity for Improvements	
OFI #	Description
2059882-202105-11	<p><u>Indicator 4.4 Milestone B</u></p> <p>The action plan for peat management had been established. It can be further improved by including the information about timeframe and responsible person.</p>

Positive Findings	
PF #	Description
PF 1	Good cooperation from the management team in the assessment activity.
PF 2	Retrieval of relevant documents was efficient.
PF 3	Group members have a good understanding the intention of sustainability standard.

3.3.1 Status of Nonconformities Previously Identified and Observations

Non-conformity			
NCR Ref #	N/A	Date Issued	N/A
Due Date	N/A	Date of nonconformity Closure	N/A
Clause & Category (Critical / Minor)	N/A		
Statement of Nonconformity:	N/A		
Requirement Reference:	N/A		
Objective Evidence:	N/A		
Corrections:	N/A		
Root Cause Analysis:	N/A		
Corrective Actions:	N/A		
Assessment Conclusion:	N/A		

Opportunity for Improvement	
OFI#	Description
OFI 1	N/A

3.3.2 Summary of the Nonconformities and Status

CAR Ref.	Category (Critical / Minor)	P&C Indicator	Issued Date	Status & Date
2059882-202105-M1	Critical	3.1 Milestone A	21/05/2021	Closed on 15/07/2021
2059882-202105-M2	Critical	D.1.1 Eligibility	21/05/2021	Closed on 15/07/2021
2059882-202105-M3	Critical	D.3.1 Eligibility	21/05/2021	Closed on 15/07/2021

3.4 Stakeholders and previous land owner / user consultation

Stakeholder consultation involved internal and external stakeholders. External stakeholders were contacted by telephone to arrange meetings at a location convenient to them to discuss Pertubuhan Tani Niaga Lestari Negeri Johor (PERTANIAGA) Certification Unit’s environmental and social performance, legal and any known dispute issues.

Meetings were conducted with stakeholders to seek their views on the performance of the company with respect to the RSPO requirements and aspects where they considered that improvements could be made. At the start of each meeting, the interviewer explained the purpose of the audit followed by an evaluation of the relationship between the stakeholder and the company before discussions proceeded. The interviewer recorded comments made by stakeholders and later was verified with the management team. Any comment which is not complying to the RSPO P&C requirements have been incorporated as an assessment finding.

Structured worker interviews with male and female workers and staff were held in private. Fieldworkers were interviewed informally in small groups in the field. Separate visits were made to each of the local communities to meet with the village head and residents. Company officials were not present at any of the internal or external stakeholder interviews. A list of Stakeholders contacted is included as below.

Stakeholders contacted		
Type of Stakeholder (e.g. Internal, Union, Contractor, Governmental Department, NGO, External, Communities)	Stakeholder name / organization	Means of communication (e.g. face to face interview, email, phone interview, comment from public notice)
Internal	Smallholders	Face to face interview
Communities	Penghulu Mukim Batu Pahat and Ayer Baloi	Face to face interview
Governmental Department	MPOB, Parit Raja	Face to face interview
Governmental Department	Department of Wildlife & National Parks	By email
Governmental Department	Forestry Department	By email
Governmental Department	Department of Labour	By email
Governmental Department	Department of Environment	By email
Governmental Department	Department of Occupational Safety and Health	By email
Supplier	Collection Centers	Face to face interview
Supplier	Southern Waste Management	Face to face interview
NGO	Malaysian Nature Society	By email
NGO	Tenaganita	By email

NGO	Wetlands International (Malaysia)	By email
NGO	Sahabat Alam Malaysia	By email
NGO	WWF Malaysia	By email
NGO	Greenpeace International	By email
NGO	Rainforest Action Network (RAN)	By email
NGO	Global Environment Centre (GEC)	By email
NGO	Traffic Southeast Asia	By email
NGO	Center for Orang Asli (COAC)	By email
NGO	Indigenous Peoples Network of Malaysia (JOAS)	By email

Stakeholders comment	
1	<p>Feedbacks: Smallholders – Field operations mostly outsourced to contractor (under collection centre or casual workers) and some of them managed their own farm. For those who outsourced, rate for harvesting ranging from RM60 – RM90 depending on area and palm height. Casual workers paid as per the verbal agreed rate. If the workers supplied from collection centre, salary will be deducted from FFB payment. The smallholders aware of the complaint mechanism and his rights to raise any complaint to the group manager. They are also aware on the declaration made with PERTANIAGA and know the consequences if they are not obliged to the rules and regulation as stipulated in the said declaration.</p> <p>Audit Team verification and response: No other issue. The group manager will continue to assist and improve stakeholder’s knowledge and awareness.</p>
2	<p>Feedbacks: Penghulu Kampung (Mukim Batu Pahat and Ayer Baloi) – Fully support the initiative by PERTANIAGA towards RSPO certification. Some of the smallholders were also certified under MSPO group certification named SPOC @ Sustainable Palm Oil Cluster under MPOB. Our roles are to promote the good initiative by PERTANIAGA and MPOB to upgrade the standard and quality of crop and farm management and at the same time improve the livelihood of smallholders.</p> <p>Audit Team verification and response: No other issue. PERTANIAGA committed to continue to cooperate with both Penghulu Kampung to include more smallholders in the RISS in future.</p>
3	<p>Feedbacks: SWM @ Southern Waste Management – Moving forward there is a plan to put a centralize collection centre within the area for recyclable waste. A waste bin named “sangkar” will be introduced for recyclable waste from agriculture inputs.</p> <p>Audit Team verification and response: This initiative is still under planning stage and expected to be realized by end of 2021. Implementation of the programme to be further verified in the next audit.</p>
	<p>Feedbacks:</p>

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4	<p>MPOB officer, Parit Raja – Officer in charge for Parit Raja. Some of the smallholders are certified under MSPO SPOC programme. No issues with land title and ownership for Parit Raja and none of new encroached and developed area reported so far.</p> <p>Audit Team verification and response: No other issue. PERTANIAGA and MPOB committed to continue to cooperate for any future programme of smallholders.</p>
5	<p>Feedbacks: Collection centres – For PERTANIAGA RISS programme, Bingan Jaya is responsible to handle the transportation to RSPO certified palm oil mill. FFB received at collection centre under PERTANIAGA will be recorded under Bingan Jaya. This to create a traceability system for PERTANIAGA registered farm at each collection centre. Collection centre also provide labour and FFB transport service for any smallholders that intent to procure the service. Charges will be deducted from the FFB payment (depending on services – harvesting, loading and transport etc) and smallholders will receive the nett payment.</p> <p>Audit Team verification and response: No other issue. PERTANIAGA committed to continue to monitor and assist.</p>
6	<p>Feedbacks: Malaysian Nature Society</p> <ol style="list-style-type: none"> 1) PERTANIAGA must comply to the existing 'No Deforestation, No Peat and No Exploitation (NDPE)' Policy and its associated law, legislation and regulations. 2) No new conversation of lands into oil palm plantation is permitted, particularly conversion involving Environmental Sensitive Areas (ESA), peatlands and protected areas, which play important roles in safeguarding the health of our ecosystems, biodiversity etc. for sustainable management of natural resources. For example, permanent reserve forest, Important Bird and Biodiversity Area (IBA) etc. 3) According to sustainable forest management practices, palm oil harvested from these ESAs will not be able to sell or marketable to buyers. It will cause huge monetary losses. 4) Some (or maybe half) of the independent smallholders' plantations are located on peatland. The proper management of peatland for oil palm plantation is costly. 5) PERTANIAGA shall ensure full compliance on Principle 4 (Protect, conserve and enhance ecosystems and the environment), its criteria and indicators. 6) Despite Criteria 4.5 stated that smallholders must complete a risk assessment related to flooding or saline intrusion prior to replanting on peat, saline intrusion will be significant as some of the smallholders' plantations are located near to coastal areas. 7) Complete protection of High Conservation Value (HCV) and High Carbon Stock (HCS) forests is mandatory following the simplified and combined HCV-HCS approach for independent smallholders. 8) The independent smallholders shall follow the simplified HCV methodology for identifying, protecting and managing HCVs as guidance in both existing and new planting areas. 9) We would like to highlight that a large portion of the smallholders' plantations are located next to one of the existing 55 IBA sites in Malaysia, namely South-west Johor coast (IBA MY 15). 10) There are two (2) existing national parks located within the South-west Johor coast (IBA MY 15), namely Tanjung Piai National Park and Pulau Kukup National Park. 11) The biodiversity in the area is diverse, especially the rare, endangered and threatened (RET) species. For example, Lesser Adjutant (<i>Leptoptilos javanicus</i>), Long-Tailed Parakeet (<i>Psittacula longicauda</i>), Smooth-coated Otter (<i>Lutrogale perspicillata</i>) and Long-tailed Macaque (<i>Macaca fascicularis</i>), just to name a few. These four (4) RET species has been categorised as 'Vulnerable' in the IUCN Red List of Threatened Species. 12) Human-wildlife conflicts could be an issue in the future, which sometimes involving RET species.

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	<p>13) With the highest priority given to the protection and conservation of RET species, the independent smallholders need to conduct a detailed Wildlife Impact Assessment (WIA). The independent smallholders can contact Department of Wildlife and National Parks (PERHILITAN) for their advice on the matter.</p> <p>14) The existing wildlife species action plans and dedicated wildlife management plan to tackle impacts on biodiversity must be prepared, adopted and monitored periodically.</p> <p>15) According to Target 9 under Goal 3 in the National Policy on Biological Diversity (2016-2025), no further threatened species extinction and their conservation status would be improved and sustained by 2025.</p>
	<p>Audit Team verification and response:</p> <p>1) LUCA report dated 07/04/2021 shows no liability. Some 23% of the area were planted on peat. Nonetheless, PERTANIAGA has conducted a pilot testing with RSPO on 02/03/2021 on "Flooding Risk Assessment". Based on the results, none of the plots categorised as high risk.</p> <p>2) No new conversion of lands into oil palm. The youngest oil palms were planted in 2014.</p> <p>3) No new conversion of lands into oil palm. The youngest oil palms were planted in 2014.</p> <p>4) PERTANIAGA has established a management plan for those plantation in peat area. See Criteria 4.4.</p> <p>5) PERTANIAGA is committed to comply with Principle 4 of the standard. See report on Principle 4.</p> <p>6) PERTANIAGA has incorporated its water management plan with its peat management plan where maintaining water table between 50-70 cm is one of the action plans. Apart from that, PERTANIAGA has also registered with the People for Peat (PFP) initiative as recommended by the RSPO on 20/05/2021.</p> <p>7) HCV assessment has been conducted and PERTANIAGA is committed to protect HCV if any. See Criteria 4.1.</p> <p>8) HCV assessment has been conducted and PERTANIAGA is committed to protect HCV if any. See Criteria 4.1.</p> <p>9) PERTANIAGA is aware of the importance of birdlife and committed to protect wildlife.</p> <p>10) PERTANIAGA is aware of the importance of the two national parks and committed to protect wildlife despite the plantations of its members located significantly distance from the parks.</p> <p>11) Based on interview with the sampled members, most of them have seen the four species especially the most common one i.e. Long-tailed Macaque. None of the sampled members had demonstrated to have interfered with the habitat of the species, although the Long-tailed Macaque have been said are creating nuisance to the community's orchards.</p> <p>12) PERTANIAGA is committed to continuously create awareness among its members on the importance of wildlife through various educational programmes e.g. training, and consultation to name a few.</p> <p>13) PERTANIAGA has taken the consultation with the Department of Wildlife into consideration. This will be followed-up in the next assessment.</p> <p>14) PERTANIGA has established its action plan to minimise the impact to environment which include biodiversity protection.</p> <p>15) PERTANIAGA has taken note on the target and continues to commit to protect wildlife.</p>

List of land owner / user contacted					
Name	Years of ownership / used	Land area (ha)	Agreement (Yes / No)	Agreement base on FPIC (Yes/No)	Compliance on the agreement terms and conditions
N/A	N/A	N/A	N/A	N/A	N/A

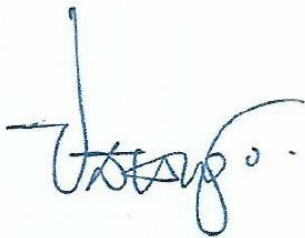


Previous land owner / user comment	
1	Feedbacks: N/A
	Audit Team verification and response: N/A

3.5 Impartiality and conflict of interest

During this assessment there was no circumstances or pressure that had influenced the independence or confidentiality of the assessment team.

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Formal Signing-off of Assessment Conclusion and Recommendation

<p>The audit objectives have been achieved and the certificate scope remains appropriate. Based on the results of this audit, it is concluded that Pertubuhan Tani Niaga Lestari Negeri Johor (PERTANIAGA) has complied with the RSPO Independent Smallholder Standard, November 2019 for Sustainable Palm Oil and audit criteria identified within the audit report. It is deemed that the management system continues to achieve its intended outcomes. Therefore, it is recommended that the certification of Pertubuhan Tani Niaga Lestari Negeri Johor (PERTANIAGA) is approved.</p>	
<p>Report prepared by</p>	<p>Acceptance of Assessment Conclusion</p>
<p>Name: Valence Shem</p>	<p>Name: MOHD FARIDZ BIN HARITH</p>
<p>Company Name: BSI Services Malaysia Sdn Bhd</p>	<p>Company Name: PERTANIAGA</p>
<p>Title: Lead Auditor</p>	<p>Title: GROUP MANAGER</p>
<p>Signature:</p> 	<p>Signature: <i>(I the undersigned, being the most senior relevant management representative of the operation seeking or holding certification, agree with the contents of this report and accept the liability in execution of the procedure in the report.)</i></p>   <p>FARIDZ BIN HANITH Pengurus Kumpulan Pertubuhan Tani Niaga Lestari Negeri Johor (PERTANIAGA) Email: fhpertaniaga@gmail.com Tel: 0197636605</p>
<p>Date: 20/07/2021</p>	<p>Date: 23/07/21</p>

Appendix A: Summary of Findings

Criterion / Indicator	Assessment Findings	Compliance	
<p>Principle 1: Optimise productivity, efficiency, positive impacts and resilience Implement professional and transparent operations to secure sustainable livelihood improvements.</p>			
<p>Criteria 1.1 Smallholders establish a legal entity which has organizational capacity to comply with the RSPO Independent Smallholder Standard.</p>			
<p>Eligibility</p>	<p>E Legally registered entities have documented evidence to include:</p> <ol style="list-style-type: none"> 1. Legal formation (as per country requirements) 2. Fair and transparent decision making and governance 3. Additional documents per requirements for Group Formation and Management. 4. Signed or thumb printed Smallholder Declaration from all smallholder members, reference Annex 2 (see appendix 1 for details needed in declaration). 	<p>PERTANIAGA is registered under the Registrar of Society Malaysia (ROS) and has conducted its first General Meeting on 30/06/2020. Registration No.: PPM-001-01-26052020 Date of registration 26/05/2020 The status of registration can be viewed at https://www.ros.gov.my/index.php?id=2&page_id=19 PERTANIAGA was established to support independent smallholder farmers within the Bingan Jaya Network in obtaining RSPO Certification. This initiative is delivered by Center for Sustainable Small-owners (CSS) under the Smallholders Program, through a strategic partnership between Malaysia Institute for Supply Chain Innovation (MISI) and Procter & Gamble (P&G). All the 107 ISH members had signed the Smallholder Declaration and documented evidence was available at PERTANIAGA administration office for verification.</p>	<p>Complied</p>
<p>Milestone A</p>	<p>Group manager and group members have an Internal Control System (ICS) that meets all the ICS Eligibility and MS A requirements (section 3.2 below) and complete training on oil palm pricing mechanisms, financial management, and best practices for smallholder organisations.</p>	<p>Internal Control System (ICS) is managed by PERTANIAGA Committee Members led by a President. The Group Manager and group members have completed various trainings which include oil palm pricing mechanisms, financial management, and best practices for smallholder organisations.</p>	<p>Complied</p>

Milestone B	Smallholder groups are operating in accordance to best management practices for groups, including: <ul style="list-style-type: none"> Fair and transparent decision-making and governance Sustainable financial management 	The fair and transparent decision-making and governance is demonstrated through the composition of PERTANIAGA committee members which consists of both representatives from MISI and group members. The organisation has its business planning that can demonstrate its sustainable financial management.	Complied
Criteria 1.2			
Smallholders have the capacity to effectively manage their farm.			
Eligibility	Not Applicable	NA	Not Applicable
Milestone A	Smallholders complete training on farm business operations, monitoring and planning. The training includes capacity building on record keeping for production, including inputs and yields, transactions, and variety.	All the sampled ISH members found to have completed trainings on farm business operations, monitoring and planning in various sessions. Records of trainings were made available for verification. The details of training can be seen in Criteria A.2.3.	Complied
Milestone B	Smallholders are managing their farms effectively and maintain records of production and transaction data of all FFB sale.	Records of production and transaction data of all FFB sale were obtained by the Group Manger and registered in a database thereafter. The data is verifiable through sales receipts from collection centres. Based on site visit at the sampled members, generally the farms were well managed in term of harvesting and field upkeep.	Complied
Criteria 1.3			
Smallholders implement good agricultural practices (GAP) on their farms.			
Eligibility	Smallholders commit to implementing good agricultural practices on their farms. (Reference Smallholder Declaration, 1.1.E, Annex 2).	The commitment to implement good agriculture practices by the ISH members was demonstrated through signing of the Smallholder Declaration.	Complied
Milestone A	Smallholders complete training on Good Agriculture Practices (GAP).	All the sampled ISH members found to have completed the trainings on Good Agriculture Practices (GAP) in various sessions such as meetings and advisory visit day. Records of trainings were made	Complied

		available for verification. The details of training can be seen in Criteria A.2.3.										
Milestone B	Smallholders have adopted GAP on their farms and are tracking productivity through, but not limited to, records of FFB sales.	Based on farm visit at the sampled ISH members, the commitment to implementation of GAP was well demonstrated. Generally, there was no overspray and the harvesting standard was high. Palms were well pruned and the ISH were able to explain the FFB ripeness standard and how to minimise losses. Records of FFB production including weighbridge tickets were also well maintained by the Group Manager for verification.	Complied									
Principle 2: Ensure Legality, Respect for Land Rights and Community Wellbeing												
Comply with the law and respect communities' rights												
Criteria 2.1												
Smallholders have legal or customary rights to use the land in accordance with national and local laws, and customary practices												
Eligibility	Smallholders provide the coordinates or maps of their plots and evidence of ownership, or rights to use the land (refer to appendix 1).	<p>Coordinate or maps of smallholder's plots and evidence of ownership, or rights to use the land available for verification and in line with smallholder declaration. Sample of ISH checked:</p> <table border="1"> <thead> <tr> <th>Smallholder name/ID</th> <th>GPS location</th> <th>Ownership evidence</th> </tr> </thead> <tbody> <tr> <td>Mohd Nizam Ja'afar, ID: PG01-043-01-lot 1886</td> <td>N 1.75395, E 103.10458</td> <td>HK: 2895, lot no. 1609, district: Rengit, Mukim Kampung Bahru, area: 1.4569 ha Ownership: Freehold Land use type: coconut Planting year: 2000</td> </tr> <tr> <td>Ismail Bin Ramli, ID: PG03-007-01-PT17288</td> <td>N 1.812755, E 103.052742</td> <td>HS(M): 9730, PT no. 7255, district: Batu Pahat, Mukim Sri Gading, area: 0.908 ha Ownership: freehold</td> </tr> </tbody> </table>	Smallholder name/ID	GPS location	Ownership evidence	Mohd Nizam Ja'afar, ID: PG01-043-01-lot 1886	N 1.75395, E 103.10458	HK: 2895, lot no. 1609, district: Rengit, Mukim Kampung Bahru, area: 1.4569 ha Ownership: Freehold Land use type: coconut Planting year: 2000	Ismail Bin Ramli, ID: PG03-007-01-PT17288	N 1.812755, E 103.052742	HS(M): 9730, PT no. 7255, district: Batu Pahat, Mukim Sri Gading, area: 0.908 ha Ownership: freehold	Complied
Smallholder name/ID	GPS location	Ownership evidence										
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				Land use type: cultivation of oil palm Planting year: 2008	
		Jemail Bin Imam, ID: PG02-013-01-lot5312	N 1.860944, E 103.151208	HK:4169, PT no. 5312, district: Batu Pahat, Mukim Sri Gading, area: 0.99 ha Ownership: Freehold Land use type: cultivation of oil palm Planting year: 2001	
		Misbah Bin Sukarno, ID: PG02-006-01-PTD5434	N 1.856934, E 103.143502	HS(M): 3146, PT no. 6534, district: Batu Pahat, Mukim Sri Gading, area: 0.51 ha Ownership: freehold Land use type: cultivation of oil palm Planting year: 1991	
Milestone A	Smallholders can demonstrate legal ownership or native and/or customary rights to use the land or demonstrate that they are in the process of legalisation of that right.	Legal ownership and right to use land can be demonstrated based on the above indicator.			Complied
Milestone B	Smallholder plots are clearly and visibly demarcated and maintained, and the smallholders are operating only within these boundaries.	Demarcation of the boundary of land was sighted during field visit such as boundary stone and markers. Other physical demarcation such as trenches or frond staking was sighted along the boundary.			Complied
Criteria 2.2 Smallholders have not acquired lands from indigenous peoples, local communities or other users without their free, prior and informed consent, based on a simplified FPIC approach.					

Eligibility	For existing plots, smallholders can demonstrate that they have not acquired land without free, prior and informed consent of indigenous peoples, local communities or other users (refer to appendix 1).	No acquired land without free, prior and informed consent of indigenous peoples, local communities or other users within PERTANIAGA propose scope of certification. All plots either owned by smallholders themselves or lease from state government.	Complied
Milestone A	For existing plots, smallholders can demonstrate that they have not acquired land without free, prior and informed consent of indigenous peoples, local communities or other users (refer to appendix 1).	No acquired land without free, prior and informed consent of indigenous peoples, local communities or other users within PERTANIAGA propose scope of certification. All plots either owned by smallholders themselves or lease from state government.	Complied
Milestone B	For existing plots, smallholders can demonstrate that they have not acquired land without free, prior and informed consent of indigenous peoples, local communities or other users (refer to appendix 1).	No acquired land without free, prior and informed consent of indigenous peoples, local communities or other users within PERTANIAGA propose scope of certification. All plots either owned by smallholders themselves or lease from state government.	Complied
Criteria 2.3			
The right to use the land is not disputed by indigenous peoples, local communities or other users			
Eligibility	Smallholders declare any existing disputes on the land commit to resolving said disputes and provide information on the current status of those disputes (if any) (refer to appendix 1).	Interviewed with the sampled ISH members found that no land dispute cases reported. Land title detailing on type of ownership freehold/leasehold and right to use the land was clearly stated. Demarcation of the boundary of land was sighted during field visit such as boundary stone and markers. Other physical demarcation such as trenches or frond staking was sighted along the boundary.	Complied
Milestone A	There is an absence of disputes among indigenous peoples, local communities or other users, regarding land, resource-use and access rights; or where there is a dispute, dispute resolution processes are implemented, and the process is accepted by all parties involve	Interviewed with the sampled ISH members found that no land dispute cases reported. Land title detailing on type of ownership freehold/leasehold and right to use the land was clearly stated. Demarcation of the boundary of land was sighted during field visit such as boundary stone and markers. Other physical demarcation such as trenches or frond staking was sighted along the boundary.	Complied
Milestone B	There is an absence of disputes among indigenous peoples, local communities or other users, regarding land, resource-use and access	Interviewed with the sampled ISH members found that no land dispute cases reported. Land title detailing on type of ownership	Complied

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	rights; or where there is a dispute, dispute resolution processes are implemented, and the process is accepted by all parties involve	freehold/leasehold and right to use the land was clearly stated. Demarcation of the boundary of land was sighted during field visit such as boundary stone and markers. Other physical demarcation such as trenches or frond staking was sighted along the boundary.	
Criteria 2.4			
Smallholder plots are located outside of areas classified as national parks or protected areas, as defined by national, regional or local law or as specified in National Interpretation.			
Eligibility	Smallholder plots are located outside of areas classified as national parks or protected areas as defined by national, regional or local law, or as specified in National Interpretations (refer to appendix 1).	Verification through KML maps and GPS locations showed that all the ISH members plots are located outside of areas classified as national parks or protected areas as defined by national, regional or local law or as specified in National Interpretations.	Complied
Milestone A	Smallholder plots are located outside of areas classified as national parks or protected areas as defined by national, regional or local law, or as specified in National Interpretations (refer to appendix 1).	Verification through KML maps and GPS locations showed that all the ISH members plots are located outside of areas classified as national parks or protected areas as defined by national, regional or local law or as specified in National Interpretations.	Complied
Milestone B	Smallholder plots are located outside of areas classified as national parks or protected areas as defined by national, regional or local law, or as specified in National Interpretations (refer to appendix 1).	Verification through KML maps and GPS locations showed that all the ISH members plots are located outside of areas classified as national parks or protected areas as defined by national, regional or local law or as specified in National Interpretations.	Complied
Criteria 2.5			
For new planting, smallholders do not clear or acquire any land without obtaining the free, prior and informed consent (FPIC), of indigenous people and/or local communities and/or other users , based on a simplified FPIC approach.			
Eligibility	For new oil palm planting, smallholders commit not to clear or acquire land from indigenous people, local communities, or other users without their free, prior and informed consent, based on a simplified FPIC approach (refer to appendix 1).	Stated in the smallholder declaration, ref: PERTANIAGA00/2020/002 dated 25/06/2020; Para 4: Information on all plots converted and planted with oil palm after 2005 (through use of the simplified combined HCV-HCS approach for Smallholders). The smallholder declaration documents have been	Complied

		<p>signed as acknowledgment by all the smallholder members and was available for verification.</p> <p>There were non-compliance land clearing (NCLC) plots within PERTANIAGA proposed scope of certification. Total of 20 smallholders (23 plots) involved due to land clearing was made after November 2005 without prior HCV assessment with 32.82 ha NCLC area. Conclusion made by RSPO based on the LUCA assessment by 3rd party RSPO appointed GIS consultant has confirmed that no final conservation liability (FCL) area required for remediation is "0". LUCA status passed granted by RSPO dated 07/04/2021.</p> <p>The Group Manager has continuous engagement with the smallholders via visits to the smallholders plots as well as meetings conducted by the Group Manager.</p>	
Milestone A	A Smallholders complete training on how to conduct a simplified FPIC approach.	There are no plans for any new planting within the PERTANIAGA Smallholders Group.	Complied
Milestone B	Based on a simplified FPIC approach, smallholders jointly agree on a plan with the affected indigenous peoples and/or local communities and/or other rights holders, including vulnerable groups, for new oil palm developments, if these involve land-use change.	There are no plans for any new planting within the PERTANIAGA Smallholders Group.	Complied
<p>Principle 3: Respect human rights, including workers' rights and conditions Safeguard human rights and protect workers' rights, ensuring safe and decent working conditions.</p>			
<p>Criteria 3.1 There is no use of forced labour.</p>			
Eligibility	Smallholders commit to no use of forced labour and ensure that any use of forced labour on the farm is terminated at Eligibility. They provide information on the source of labour on the farm, including family, contract and hired labour (refer to appendix 1).	The smallholders have received a Sustainable Management Guide for Palm Oil where it has included no forced labour into the guide. The smallholders are committed to no use of forced labour verified through interviewed with the smallholders.	Complied

		<p>The sampled smallholders are carried out the activity by themselves or engaged family members, casual workers or the dealer to provide labour for harvesting, manuring and spraying activity. No forced labour has been used in the farms verified through interviewed with the smallholders, casual worker and workers of dealer.</p> <p>Based document review, site observation and interview with relevant smallholders, it was evident that the implementation was found to be in lined with declaration signed by the smallholders.</p>	
Milestone A	<p>Smallholders complete training on free and fair labour and implement measures to ensure that all work is voluntary, and the following practices are prohibited:</p> <ul style="list-style-type: none"> • Retention of identity documents including but not limited to passports; • Payment of recruitment fees by workers; • Contract substitution; • Involuntary overtime; • Lack of freedom of workers to resign; • Penalty for termination of employment; • Debt bondage; • Withholding of wages 	<p>PERTANIAGA has carried out Social Training to the smallholders progressively to explain about forced labour, human rights, child labour and etc. Seen the training material and training record with name of smallholders conducted on 20/3/21. Interviewed with the smallholders confirmed that training was provided and they are aware on free and fair labour.</p> <p>Implementation of measure to ensure voluntary work and prohibited labour practices was not effectively demonstrated. Sample of one contractor' worker under Seteguh Enterprise (collection center), was not registered under the company. Furthermore, no effective measures to monitor casual worker used by smallholders and workers registered under collection centre to ensure legality of workers prior to engagement. Thus a major NC was issued</p>	Non-compliance
Milestone B	<p>Workers on the farm, including their families, have unrestricted access to their identity documents, have freedom of movement and can declare that their employment is freely chosen.</p>	<p>The sampled smallholders are carried out the activity by themselves or engaged family members, casual workers or the dealer to provide labour for harvesting, manuring and spraying activity. All the workers in the field inclusive of family members and dealer's workers have access to their identity documents and have freedom of movement verified through interviewed with the smallholders, casual worker and workers of dealer.</p>	Complied
Criteria 3.2			

Children are not employed or exploited. Work by children is acceptable on family farms, under adult supervision and when not interfering with education programmes. Children are not exposed to hazardous working conditions.			
Eligibility	<p>Smallholders are aware of what defines child labour and ensure that any child labour in the farm operations is terminated at Eligibility. Awareness of child labour and commitment to no child labour includes:</p> <ol style="list-style-type: none"> 1. Compliance with the minimum age of workers and as defined by local, state, or national law, 2. Not exposing children to hazardous work. 3. Providing adult supervision of young people working on the farm. 4. Ensuring the practice of children’s rights to education is unrestricted and respected. <p>(Refer to appendix 1).</p>	<p>Interviewed with smallholders found that they are aware of the risk of child labour and they are not allowed to engage child labour in the farms. Most of the workers engaged by the smallholders are own family members or dealer’s workers. All the workers are above 18 years old.</p> <p>Based document review, site observation and interview with relevant smallholders, it was evident that the implementation was found to be in lined with declaration signed by the smallholders.</p>	Complied
Milestone A	<p>Group managers and smallholders implement measures to protect children as follows:</p> <ol style="list-style-type: none"> 1. There are no workers on smallholder farms under the age of 15 or under the minimum age defined by local, state or national law, whichever is higher. 2. Children are only permitted to help on family farms and are not permitted to perform dangerous, hazardous or heavy work. 3. If young workers are employed, their work is not mentally or physically harmful and does not interfere with their schooling, if applicable. 	<p>Interviewed with smallholders found that they are aware of the risk of child labour and they are not allowed to engage child labour in the farms. Most of the workers engaged by the smallholders are own family members or dealer’s workers. All the workers are above 18 years old. PERTANIAGA has carried out Social Training to the smallholders progressively to explain on child labour where no workers allowed under age 15 as verified with the training material.</p>	Complied
Milestone B	<p>Group managers and smallholders implement measures to protect children as follows:</p> <ol style="list-style-type: none"> 1. There are no workers on smallholder farms under the age of 15 or under the minimum age defined by local, state or national law, whichever is higher. 	<p>Interviewed with smallholders found that they are aware of the risk of child labour and they are not allowed to engage child labour in the farms. Most of the workers engaged by the smallholders are own family members or dealer’s workers. All the workers are above 18 years old. PERTANIAGA has carried out Social Training to the</p>	Complied

	<p>2. Children are only permitted to help on family farms and are not permitted to perform dangerous, hazardous or heavy work.</p> <p>3. If young workers are employed, their work is not mentally or physically harmful and does not interfere with their schooling, if applicable.</p>	<p>smallholders progressively to explain on child labour where no workers allowed under age 15 as verified the training material.</p>	
<p>Criteria 3.3 Workers' pay complies with minimum legal requirements, mandatory industry standards as defined by national law or collective bargaining, whichever takes priority in local regulations.</p>			
Eligibility	<p>Smallholders commit to pay workers according to minimum legal requirements or mandatory industry standards (Refer to appendix 1).</p>	<p>Smallholders carried the activity by themselves, engage family member, casual workers or workers provided by the dealer. Interviewed with the smallholders found that they have verbal mutual agreement with the family member, casual workers and dealer's workers on the rate of work. This has confirmed through interviewed with the casual worker and dealer's workers. The rate of work for spraying is RM 20/ acre and harvesting is RM 60 – 90/ MT.</p> <p>Based document review, site observation and interview with relevant smallholders, it was evident that the implementation was found to be in lined with declaration signed by the smallholders.</p>	Complied
Milestone A	<p>Workers receive payments as expected and agreed in accordance with at least the legal minimum wage rate (excluding overtime premiums) and without discrimination against vulnerable groups, including women.</p>	<p>Interviewed with the casual worker and dealer's workers confirmed that they paid as per the agreed rate of work.</p> <p>Reviewed the payslips for the dealer's workers confirmed that the workers were paid as per the Minimum Wage Order 2020 where the rate per day for daily rated workers is RM 60 – 90 depending on productivity of workers.</p>	Complied
Milestone B	<p>Workers receive payments as expected and agreed in accordance with at least the legal minimum wage rate (excluding overtime premiums) and without discrimination against vulnerable groups including women.</p>	<p>Interviewed with the casual worker and dealer's workers confirmed that they paid as per the agreed rate of work.</p> <p>Reviewed the payslips for the dealer's workers confirmed that the workers were paid as per the Minimum Wage Order 2020 where the</p>	Complied

		rate per day for daily rated workers is RM 60 – 90 depending on productivity of workers.	
Criteria 3.4			
Workers understand their rights and freedom to file a complaint/grievance to group manager or relevant third parties, including RSPO.			
Eligibility	Smallholders commit to respect the rights of workers to file a complaint/grievance (Refer to appendix 1).	The smallholders have received a Sustainable Management Guide for Palm Oil where it has included the complaint mechanism into the guide. The smallholders are committed to respect the rights of workers to file a complaint/ grievance verified through interviewed with the smallholders. Based document review, site observation and interview with relevant smallholders, it was evident that the implementation was found to be in lined with declaration signed by the smallholders.	Complied
Milestone A	Smallholders complete training on workers’ rights to file a complaints/grievance and communicate to workers the means to file a complaint/grievance.	PERTANIAGA has carried out Social Training to the smallholders progressively to explain about forced labour, human rights, child labour and complaint mechanism. Seen the training material and training record with name of smallholders on 20/3/2021. Interviewed with the casual worker and dealer’s workers confirmed that they are briefed on the complaint mechanism and understand the process.	Complied
Milestone B	Workers are aware of and have access to an effective means for filing a complaint/grievance.	Interviewed with the casual worker and dealer’s workers confirmed that they are briefed on the complaint mechanism and understand the process.	Complied
Criteria 3.5			
Working conditions and facilities are safe and meet minimum legal requirements.			
Eligibility	Smallholders commit to providing safe working conditions and facilities (Refer to appendix 1).	The smallholder declaration been signed on 7/7/2020 this include to ensure to commit to providing safe working conditions and facilities. Verification with sampling small holder as per below: - PG07-008-01	Complied

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		<ul style="list-style-type: none"> - PG06-004-01 - PG06-009-01 - PG06-006-01 24/9/2020 															
Milestone A	Smallholders, workers, and family members complete training and aware of health and safety risks associated with farm work, (including that of pesticide use) and how to mitigate them	<p>Health and safety risk have been identified and given training to smallholder. This been conducted by Group manager at Amari Hotel, Johor Bahru dated 20 March 2021 until 22 March 2021. This training organizes by CSS (Centre Sustainable Small owner), UiTM and YARA. This training include introduction to group and sustainable livelihood, Economic sustainability, environmental sustainability, social sustainability and recap, plan and reap rewards.</p> <p>Sampling personal record as per below:-</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Smallholder ID</th> <th style="text-align: left;">Date</th> <th style="text-align: left;">Training</th> </tr> </thead> <tbody> <tr> <td rowspan="2">PG06-009-01</td> <td>13/3/2021</td> <td>Part I introduction to group and sustainable livelihood</td> </tr> <tr> <td>20-22/3/2021</td> <td>Part II Economic sustainability, environmental sustainability, social sustainability and recap, plan and reap rewards</td> </tr> <tr> <td>PG07-008-01</td> <td>19/4/2021</td> <td>introduction to group and sustainable livelihood, Economic sustainability, environmental sustainability, social sustainability and recap, plan and reap rewards.</td> </tr> <tr> <td>PG06-004-01</td> <td>13/3/2021</td> <td>Part I introduction to group and sustainable livelihood</td> </tr> </tbody> </table>	Smallholder ID	Date	Training	PG06-009-01	13/3/2021	Part I introduction to group and sustainable livelihood	20-22/3/2021	Part II Economic sustainability, environmental sustainability, social sustainability and recap, plan and reap rewards	PG07-008-01	19/4/2021	introduction to group and sustainable livelihood, Economic sustainability, environmental sustainability, social sustainability and recap, plan and reap rewards.	PG06-004-01	13/3/2021	Part I introduction to group and sustainable livelihood	Complied
Smallholder ID	Date	Training															
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			20-22/3/2021	Part II Economic sustainability, environmental sustainability, social sustainability and recap, plan and reap rewards	
		PG06-006-01	13/3/2021	Part I introduction to group and sustainable livelihood	
			20-22/3/2021	Part II Economic sustainability, environmental sustainability, social sustainability and recap, plan and reap rewards	
Milestone B	Workers, including smallholder family members, have access to safe working conditions and amenities that include: <ul style="list-style-type: none"> • Safe and adequate housing, where applicable, • Access to basic first aid supplies • Health and safety equipment, including minimum personal protective equipment (PPE) if appropriate for the type of work. • Adequate drinking water • Access to toilets 	The smallholder has access to safe working condition and amenities, this verified as per interview with sampling smallholder. This amenities included first aid supplies, personal protective equipment and have a water. Either the field works are done by casual workers or by the ISH themselves, appropriate PPE shall be worn as explained through interview as no work in progress during the assessment to be witnessed. The training been given by group manager to smallholder on 21/3/2021.			Complied
Criteria 3.6					
There is no discrimination, harassment, or abuse on the farm.					
Eligibility	Smallholders commit to no discrimination, harassment or abuse on the farm (Refer to appendix 1).	The smallholders have received a Sustainable Management Guide for Palm Oil where it has included no discrimination, harassment or abuse into the guide. The smallholders are committed to no discrimination, harassment or abuse verified through interviewed with the smallholders.			Complied

		Based document review, site observation and interview with relevant smallholders, it was evident that the implementation was found to be in lined with declaration signed by the smallholders.	
Milestone A	Smallholders complete training on workplace discrimination, harassment and abuse and are aware of need for a safe workspace.	PERTANIAGA has carried out Social Training to the smallholders progressively to explain about workplace discrimination, harassment and abuse and safe workplace. Seen the training material and training record with name of smallholders conducted on 20/3/2021.	Complied
Milestone B	Workers freely express that they are working in a place that is free from discrimination, harassment or abuse.	Interviewed with the casual worker and dealer’s workers confirmed that they are working in a place that is free from discrimination, harassment or abuse.	Complied

Principle 4: Protect, conserve and enhance ecosystems and the environment

Protect the environment, conserve biodiversity, enhance ecosystems and ensure sustainable management of natural resources.

Preamble

High Conservation Value (HCVs) and High Carbon Stock (HCS) forests

This Independent Smallholder Standard is pursuing the objective of the RSPO Smallholder Strategy to increase smallholder inclusion, prioritise improved practices which also benefit smallholder livelihoods, whilst also upholding the core sustainability requirements. This includes the protection of areas of HCV and HCS forests. The RSPO has developed a simplified HCV methodology for identifying, protecting and managing HCVs that provides guidance for both existing and new planting (see here).

Aligned with the new HCS requirements in the RSPO 2018 P&Cs, the RSPO, in consultation with the HCSA Steering Group, intends to develop a simplified combined HCV-HCS approach to identify and protect HCS forests. The simplified and combined HCV-HCS approach for independent smallholders will be open to public consultation and will be published no later than November 2020.

In the meantime, independent smallholders are not allowed to clear any primary forests or any areas required to protect or enhance HCVs and HCS forests, as committed by signing the Smallholder Declaration. Until the simplified combined HCV-HCS approach and tool for independent smallholders is available, new plantings will only be permitted in low risk areas. The definition and procedure for identification of low risk areas will be defined by a Smallholder No Deforestation Task Force.

Remediation and Compensation Procedure (RaCP)

Remediation and compensation are required for any clearance since November 2005 without prior HCV assessment (see criterion 4.2) and any clearance since November 2019 without prior HCS assessment.

<p>The requirements as outlined in the RaCP (2015) is not fully applicable for independent smallholders. For independent smallholders, this RSPO ISH Standard is focused on developing an appropriate RaCP mechanism such as on-site remediation (with funding mechanisms to be determined) as this is contextually appropriate to the scale of independent smallholder production and enables independent smallholders to maximise positive environmental impact on-site. The requirement means that quantified liability is disclosed and assessed through a land use change analysis (LUCA) supported by the RSPO Secretariat.</p>			
<p>Criteria 4.1 High Conservation Values (HCVs) on the smallholder plot or within the managed area and High Carbon Stock (HCS) forests identified after November 2019 using the simplified combined HCV-HCS approach, are managed to ensure that they are maintained and/or enhanced.</p>			
Eligibility	Smallholders commit to protect HCVs and HCS forests through the precautionary practices approach (Refer to appendix 1).	The commitment to protect HCVs and HCS forests from the members is demonstrated in the signed ISH members declaration.	Complied
Milestone A	Smallholders complete training on and are aware of: <ul style="list-style-type: none"> • The importance of maintaining and conserving HCVs and HCS forests • Human-wildlife conflict; and • Rare, threatened and endangered species and important ecosystems. 	The members have completed training on the importance of maintaining and conserving HCVs and HCS forests, human-wildlife conflict and rare, threatened and endangered species and important ecosystems. Detail of training is described in Criteria A.2.3.	Complied
Milestone B	Smallholders implement precautionary practices and manage and maintain rare, threatened and endangered species, HCVs and HCS forests, where applicable	HCV assessment was internally done on 01/06-30/09/2020 by PERTANIAGA using RSPO's simplified method. Findings: <ol style="list-style-type: none"> 1) 23.08% (30 farms, 44.783 ha) located on peat soil 2) 1 farm is potential located at swamp area 3) Absence of primary forest and other HCVs e.g. HCV 1,2,3,5,6 Assessment approaches are desktop review and field assessment. Desktop review is to capture most important features obtained via spatial data that may indicate the presence of HCV. Both approaches, methods used as follows:	Complied

		<ul style="list-style-type: none"> - Basic information and location are obtained from the dealerships and farmers - Maps: smallholdings, watersheds, protected areas, land cover - Any available data and reports on fauna and flora present in the landscape - The landscape-level areas are defined by 50 km buffer, whose centre is a spatial average between the GPS points of all 10 location centres. The single landscape-level buffer zone contained all the dealerships and their suppliers <p>Stakeholders engaged:</p> <ol style="list-style-type: none"> 1) MPOB Parit Raja 2) MPOB Pontian 3) Head of village in Batu Pahat 4) Head of village in Pontian 5) Land & survey dept. in Rengit 6) Land & survey dept in Batu Pahat 7) Land & survey dept in Pontian 8) Labour dept in Batu Pahat 9) DID Batu Pahat 10) DID Pontian <p>Results & findings:</p> <ul style="list-style-type: none"> - Based on RSPO definition (i.e. present, potential & absent), all classified as absent or no HCV present. - 23.08% of the planted areas are on peat 	
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Criteria 4.2

Where the existing smallholder plot has been planted and cleared after November 2005 or is on an area identified as HCS forests after November 2019 up to the eligibility period, a RaCP process appropriate for smallholders based on Land Use Change Analysis (LUCA) will be applicable (reference preamble).			
Eligibility	Smallholders provide information on all smallholder plots converted and planted with oil palm after 2005, through use of the simplified combined HCV- HCS approach for Smallholders (Refer to appendix 1).	A Land Use Change Analysis (LUCA) was conducted by a consultant and report dated 07/04/2021 was available for verification. The LUCA was performed on all smallholdings area where there was non-compliant land clearance i.e., land clearing after November 2005 without prior HCV assessment as reported Disclosure Form to RSPO.	Complied
Milestone A	Group members develop a plan to identify the maximum area for on-site remediation of HCVs lost since 2005 and HCS forests lost since November 2019, through a participatory process and the plan is submitted to RSPO.	Based on the LUCA report, PERTANIAGA has passed the Result of LUC Analysis Methodology Review and the Final Conservation Liability is 0.00 Ha.	Complied
Milestone B	An RSPO-approved plan to remediate for HCVs lost since 2005 and HCS forests lost since November 2019 is implemented.	Based on the LUCA report, PERTANIAGA has passed the Result of LUC Analysis Methodology Review and the Final Conservation Liability is 0.00 Ha.	Complied
Criteria 4.3 New planting of independent smallholders, since November 2019: <ul style="list-style-type: none"> • Do not replace any HCVs • Do not replace any HCS forests as defined by the simplified combined HCV-HCS approach • Are not on steep slopes (more than 25 degrees or as in the National Interpretation) • Are not on peat areas of any depth. 			
Eligibility	Smallholders provide information on all planned new plantings and commit that no new planting are on HCVs or HCS forests, on steep slopes (more than 25 degrees or as in the National Interpretation) or on peat (Refer to appendix 1).	NA as the group members have no plan for new planting.	Not Applicable
Milestone A	Before any land preparation commences, group members develop an integrated management plan through a participatory approach to maintain or enhance HCVs as well as HCS forests identified after	NA as the group members have no plan for new planting.	Not Applicable

	November 2019, as identified by the simplified combined HCV and HCS approach, before any land preparation commences		
Milestone B	Smallholders have an RSPO approved integrated management plan for their planned new planting and share a notice of this plan with those involved in the participatory mapping before any land preparation commences.	NA as the group members have no plan for new planting.	Not Applicable
Criteria 4.4			
Where smallholder plots exist on peat, subsidence and degradation of peat soils is minimised by use of best management practices.			
Eligibility	Group manager confirms presence of peat on existing plots within the group and smallholders on peat commit to using best management practices (BMPs), and minimizing subsidence and degradation of peat soils (Refer to appendix 1).	There is 23.08% of the total planted area identified as through HCV assessment. This involves 30 plots belonged to 25 members. PERTANIAGA has conducted pilot testing with RSPO on 02/03/2021 on "Flooding Risk Assessment". Based on the results, none of the plots categorised as high risk and only 2 plots are categorised as medium risk. The proposed mitigation/contingency plan for the medium risk are: <ul style="list-style-type: none"> - Enhance water management - Postpone replanting program - Improve BMP implementation 	Complied
Milestone A	Smallholders complete training on best management practices (BMPs) for peat. The group has an action plan to minimize risk of fire, to apply BMPs for plantings on peat and manage water systems in the certification unit.	The members have completed training on best management practices (BMPs) for peat and training detail is described in Criteria A.2.3.	Complied
Milestone B	Smallholders implement the group's action plan based on BMPs, including fire and water management, and monitoring of subsidence rate for existing planting on peat.	PERTANIAGA has developed an action plan based on BMPs. The implementation of the plan is on-going. Nonetheless, the action plan can be further improved by including the information about timeframe and responsible person (OFI).	Complied
Criteria 4.5			

Plots on peat are replanted only on areas with low risk of flooding or saline intrusion as demonstrated by a risk assessment.			
Eligibility	Smallholders commit to provide information on all plans for replanting and commit that replanting will only be in areas with low risk of flooding or saline intrusion (Refer to appendix 1).	The ISH members have transparently provide the information whether or not they have farms on peat to the Group Manager through signing the Smallholder Declaration Clause C.5. Under Clause D.13 of the declaration all the ISH members are committed that replanting on peat only in areas with low risk of flooding.	Complied
Milestone A	Smallholders with plots on peat complete training on identification of future risks of flooding or saline intrusion and alternate land development strategies.	The members have completed training on best management practices (BMPs) for peat and training detail is described in Criteria A.2.3.	Complied
Milestone B	Prior to replanting on peat smallholders complete a risk assessment related to flooding or saline intrusion and, where there is high risk, present a plan that includes alternate land development strategies, referencing alternative livelihood planning.	Risk assessment was done during pilot risk assessment with RSPO Secretariat on 02/03/2021. 30 plots belonged to 25 farmers were identified to be peat area. Based on "Flooding Risk Assessment" form, there was no plot categorized as "high". Therefore, no further action is required. There were only two plots identified to be medium risk and the earliest replanting (if decided) for these plots will only be done in 2029.	Complied
Criteria 4.6			
Fire is not used on the oil palm plot for preparing land or for pest control, nor open fire for waste management on the farm.			
Eligibility	Smallholders commit to no burning for preparing land or for pest control, nor open fire for waste management. Group manager records evidence of prior burning of members joining the group (Refer to appendix 1).	Based on site visit at the sampled ISH members, there was no use of fires for wastes management or pest control observed. The commitment is also demonstrated through the signing of the smallholder declaration.	Complied
Milestone A	There is no physical evidence of new burning (after eligibility) for land preparation for oil palm by smallholders. Smallholders complete training on and are aware of: <ul style="list-style-type: none"> • Alternatives to fire for land preparation and farm waste management (where appropriate possible). 	Based on site visit at the sampled ISH members, there was no use of fires for wastes management or pest control observed. The commitment is also demonstrated through the signing of the smallholder declaration. The members have completed training on alternatives to fire in land preparation, waste management, pest	Complied

	<ul style="list-style-type: none"> • Alternatives to fire for pest control. • Fire prevention and how to respond to and manage fires in their plots. 	control and fire prevention. Training detail is described in Criteria A.2.3.	
Milestone B	Smallholders do not use fire or practice burning for land preparation, waste management or pest control on the farm. For pest control, fire may be used only in exceptional circumstances i.e. where no other effective measures exist and with prior approval of relevant authority.	Based on site visit at the sampled ISH members, there was no use of fires for wastes management or pest control observed. The commitment is also demonstrated through the signing of the smallholder declaration.	Complied
Criteria 4.7			
Riparian buffer zones are identified and managed to ensure they are maintained and/or enhanced.			
Eligibility	Group manager identifies riparian buffer zones within the group and smallholders commit to no new planting in riparian zones (Refer to appendix 1).	There was no river crossing or adjacent to any of the sampled farms. This was identified through community mapping and site visits. The ISH members are also committed to no new planting or no expansion of existing farms in riparian areas by signing the Smallholder Declaration. This condition is stated under Clause D.11 of the declaration.	Complied
Milestone A	Smallholders complete training on and are aware of riparian buffer zone management, and the group has an action plan to maintain and/or enhance riparian buffer zones.	The members have completed training on riparian buffer zone management. Detail of training is described in Criteria A.2.3. Based on interview with the sampled members, the awareness on river conservation was good.	Complied
Milestone B	Smallholders maintain and/or enhance riparian buffer zone areas.	There was no river crossing or adjacent to any of the sampled farms. This was identified through community mapping and site visits.	Complied
Criteria 4.8			
Pesticides are used in ways that do not endanger health of workers, family, communities or the environment.			

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<p>Eligibility</p>	<p>Smallholders commit to phase out paraquat and pesticides categorized as WHO Class 1A or 1B and those listed by the Stockholm or Rotterdam Conventions by:</p> <ul style="list-style-type: none"> • Immediately stopping purchasing of these pesticides • Phasing out use of remaining stock by MS A • Providing information for the group manager to keep record of pesticide purchase and use. <p>(Refer to appendix 1)</p>	<p>The smallholders signed a declaration to commit to phase out paraquat and pesticides categorized as WHO Class 1A or 1B and those listed by the Stockholm or Rotterdam Convention as per pesticide usage record as per sampling below:-</p> <table border="1" data-bbox="1133 507 1955 738"> <thead> <tr> <th>Smallholder Id</th> <th>Type of chemical usage</th> <th>Latest dated implement</th> </tr> </thead> <tbody> <tr> <td>PG07-008-01</td> <td>Nasa Glyphosate</td> <td>7 May 2020</td> </tr> <tr> <td>PG08-004-01</td> <td>Glyphosate</td> <td>4 March 2021</td> </tr> <tr> <td>PG06-009-01</td> <td>Glyphosate and ally</td> <td>12 March 2020</td> </tr> <tr> <td>PG06-006-01</td> <td>Glyphosate</td> <td>1 Jan 2021</td> </tr> </tbody> </table>	Smallholder Id	Type of chemical usage	Latest dated implement	PG07-008-01	Nasa Glyphosate	7 May 2020	PG08-004-01	Glyphosate	4 March 2021	PG06-009-01	Glyphosate and ally	12 March 2020	PG06-006-01	Glyphosate	1 Jan 2021	<p>Complied</p>
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PG06-006-01	Glyphosate	1 Jan 2021																
<p>Milestone A</p>	<p>Smallholders complete training on BMPs for pesticides including pesticide usage, awareness on risks for pregnant and breastfeeding women and young workers; storage and disposal; paraquat and pesticides listed by WHO Class 1A or 1B, the Stockholm or Rotterdam Conventions (and in compliance with 3.5).</p>	<p>As per record of training, smallholder already complete the training on BMP for pesticide including pesticide usage, awareness on risk for pregnant and breastfeeding women and young workers; storage and disposal; paraquat and pesticides listed by WHO Class 1A or 1B. All smallholder under PERTANIAGA already sign Smallholder declaration stated no forced labour and no pregnant or breastfeeding woman working with chemical. Declaration been sign as per sampling detail below:</p> <table border="1" data-bbox="1133 1034 1839 1270"> <thead> <tr> <th>Smallholder Id</th> <th>Smallholder Declaration dated sign</th> </tr> </thead> <tbody> <tr> <td>PG06-006-01</td> <td>24/9/2020</td> </tr> <tr> <td>PG07-008-01</td> <td>7/7/2020</td> </tr> <tr> <td>PG06-004-01</td> <td>1/10/2020</td> </tr> <tr> <td>PG06-009-01</td> <td>27/9/2020</td> </tr> </tbody> </table>	Smallholder Id	Smallholder Declaration dated sign	PG06-006-01	24/9/2020	PG07-008-01	7/7/2020	PG06-004-01	1/10/2020	PG06-009-01	27/9/2020	<p>Complied</p>					
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<p>Milestone B</p>	<p>Smallholders implement BMPS for all pesticide use, including prohibiting use of pesticides by pregnant and breastfeeding women and young workers, and exclusion of paraquat and pesticides that</p>	<p>As per interview and verification at site with sampling smallholder they using casual male workers and no female or children been hired for all pesticide use. They aware prohibiting use of pesticides by pregnant</p>	<p>Complied</p>															

	are categorized as WHO Class 1A or 1B, or those listed by the Stockholm or Rotterdam Conventions, unless when authorized by relevant authorities for pest outbreaks.	and breastfeeding women and young workers, and exclusion of paraquat and pesticides that are categorized as WHO Class 1A or 1B, or those listed by the Stockholm or Rotterdam Conventions.												
Criteria 4.9 The group and smallholders manage pests, diseases, weeds and invasive introduced species using appropriate techniques, including but not limited to Integrated Pest Management (IPM) techniques.														
Eligibility	Not applicable	NA	Not Applicable											
Milestone A	Smallholders complete training on and are aware of BMPs, including, but not limited to safe chemical use, IPM, weed and invasive species management.	<p>The smallholder already complete training on BMP and aware the safety chemical to use, IPM and how to manage the invasive species. This training organizes by CSS (Centre Sustainable Small owner), UiTM and YARA. This training include introduction to group and sustainable livelihood, Economic sustainability, environmental sustainability, social sustainability and recap, plan and reap rewards.</p> <p>Sampling personal record as per below:</p> <table border="1" data-bbox="1131 922 1951 1348"> <thead> <tr> <th>Small Holder ID</th> <th>Date</th> <th>Training</th> </tr> </thead> <tbody> <tr> <td rowspan="2">PG06-009-01</td> <td>13/3/2021</td> <td>Part I introduction to group and sustainable livelihood</td> </tr> <tr> <td>20-22/3/2021</td> <td>Part II Economic sustainability, environmental sustainability, social sustainability and recap, plan and reap rewards</td> </tr> <tr> <td>PG07-008-01</td> <td>19/4/2021</td> <td>introduction to group and sustainable livelihood, Economic sustainability, environmental sustainability, social</td> </tr> </tbody> </table>	Small Holder ID	Date	Training	PG06-009-01	13/3/2021	Part I introduction to group and sustainable livelihood	20-22/3/2021	Part II Economic sustainability, environmental sustainability, social sustainability and recap, plan and reap rewards	PG07-008-01	19/4/2021	introduction to group and sustainable livelihood, Economic sustainability, environmental sustainability, social	Complied
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			20-22/3/2021	Part II Economic sustainability, environmental sustainability, social sustainability and recap, plan and reap rewards	
Milestone B	The group and smallholders maximize use of IPM approaches to minimize use of pesticides and herbicides on their farm.	The group manager continuously give awareness to smallholder and latest record as per below:-			Complied
		Small Holder ID	Date	Training	
		PG06-009-01	13/3/2021	Part I introduction to group and sustainable livelihood	
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		<p>Group manager plan to conduct on rhinoceros beetle management training by YARA on 25/5/2021. During site verification, some smallholder was using manual grass cutting for weed control and reduce the chemical usage in field. The other sample using weaver ant to control bagworm in field. This verified as per interview with some smallholder.</p>			
<p>Internal Control System requirements for smallholder groups.</p>					
<p>A – ICS: Group entity and group management requirements</p>					
<p>A.1 The Group demonstrates that they are legally formed.</p>					

A.1.1			
Eligibility	The Group has appointed a group manager	<p>Mr. Mohd. Faridz B. Harith was appointed as the Group Manager.</p> <p>Appointment process started from Mesyuarat Pertubuhan Tani Niaga Lestari Negeri Johor, conducted on 17/02/2020, attended by 13 members of PERTANIAGA and 5 from advisory body (CSS – Centre of Sustainable Small owners), chaired by Mohd Faridz. Under Agenda 3.2, appointment was from discussion and agreement amongst the members.</p> <p>Appointment letter [ref.: PERTANIAGA01/2020/001] dated 26/05/2020 from PERTANIAGA, signed by the Chairman of PERTANIAGA, Mr. Ahsan B. Muslim.</p>	Complied
Milestone A	The Group has appointed a group manager	<p>Mr. Mohd. Faridz B. Harith was appointed as the Group Manager.</p> <p>Appointment process started from Mesyuarat Pertubuhan Tani Niaga Lestari Negeri Johor, conducted on 17/02/2020, attended by 13 members of PERTANIAGA and 5 from advisory body (CSS – Centre of Sustainable Small owners), chaired by Mohd Faridz. Under Agenda 3.2, appointment was from discussion and agreement amongst the members.</p> <p>Appointment letter [ref.: PERTANIAGA01/2020/001] dated 26/05/2020 from PERTANIAGA, signed by the Chairman of PERTANIAGA, Mr. Ahsan B. Muslim.</p>	Complied
Milestone B	The Group has appointed a group manager	<p>Mr. Mohd. Faridz B. Harith was appointed as the Group Manager.</p> <p>Appointment process started from a meeting (<i>Mesyuarat Pertubuhan Tani Niaga Lestari Negeri Johor</i>), conducted on 17/02/2020, attended by 13 members of PERTANIAGA and 5 from advisory body (CSS – Centre of Sustainable Small owners), chaired by Mohd Faridz. Under Agenda 3.2, appointment was from discussion and agreement amongst the members.</p>	Complied

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		Appointment letter [ref.: PERTANIAGA01/2020/001] dated 26/05/2020 from PERTANIAGA, signed by the Chairman of PERTANIAGA, Mr. Ahsan B. Muslim.	
A.1.2			
Eligibility	The group manager has evidence of legal identity.	<p>PERTANIAGA is registered under the Registrar of Society Malaysia (ROS) and has conducted its first General Meeting on 30/06/2020. Registration No.: PPM-001-01-26052020 Date of registration: 26/05/2020 The status of registration can be viewed at https://www.ros.gov.my/index.php?id=2&page_id=19 The certificate of registration is kept by the general secretary at PERTANIAGA office at UTHM.</p>	Complied
Milestone A	The group manager has evidence of legal identity.	<p>PERTANIAGA is registered under the Registrar of Society Malaysia (ROS) and has conducted its first General Meeting on 30/06/2020. Registration No.: PPM-001-01-26052020 Date of registration: 26/05/2020 The status of registration can be viewed at https://www.ros.gov.my/index.php?id=2&page_id=19 The certificate of registration is kept by the general secretary at PERTANIAGA office at UTHM.</p>	Complied
Milestone B	The group manager has evidence of legal identity.	<p>PERTANIAGA is registered under the Registrar of Society Malaysia (ROS) and has conducted its first General Meeting on 30/06/2020. Registration No.: PPM-001-01-26052020 Date of registration: 26/05/2020 The status of registration can be viewed at https://www.ros.gov.my/index.php?id=2&page_id=19</p>	Complied

		The certificate of registration is kept by the general secretary at PERTANIAGA office at UTHM.	
A.1.3			
Eligibility	The group has membership requirements.	PERTANIAGA has stated its membership requirements in its membership application form. Generally, the requirements are to commit to smallholder declaration which basically consists of commitment to comply with legal requirements, conform to RSPO ISH Standard, and comply with PERTANIAGA policies and terms & conditions.	Complied
Milestone A	The group has membership requirements.	PERTANIAGA has stated its membership requirements in its membership application form. Generally, the requirements are to commit to smallholder declaration which basically consists of commitment to comply with legal requirements, conform to RSPO ISH Standard, and comply with PERTANIAGA policies and terms & conditions.	Complied
Milestone B	The group has membership requirements.	PERTANIAGA has stated its membership requirements in its membership application form. Generally, the requirements are to commit to smallholder declaration which basically consists of commitment to comply with legal requirements, conform to RSPO ISH Standard, and comply with PERTANIAGA policies and terms & conditions.	Complied
A.1.4			
Eligibility	All members have signed and acknowledged membership requirements.	Verification of the smallholder declaration showed that the members have signed and acknowledged the membership requirements. The declarations were kept in member's individual file.	Complied
Milestone A	All members can demonstrate understanding of membership requirements.	Based on interview with the sampled members, it was observed that the members were able to give good understanding of the membership requirements.	Complied

Milestone B	All members can demonstrate understanding of membership requirements.	Based on interview with the sampled members, it was observed that the members were able to give good understanding of the membership requirements.	Complied
A.2 The Group Manager is responsible for managing the Group for certification.			
A.2.1			
Eligibility	The group manager has planned for the implementation of the ICS.	<p>The Group Manager has addressed the plan to implement ICS in PERTANIAGA business plan. Among the goals are:</p> <ul style="list-style-type: none"> - to train and provide members in improving & maintaining sustainability in oil palm planting and other related activities - to safeguard the welfare of its members in carrying out sustainable & related oil palm cultivation activities - to encourage its members to participate in initiatives or programmes organised by the government and the private sector such as RSPO, replanting assistance, fertiliser subsidy, MSPO certification and others to improve living standards members of the PERTANIAGA - to organise seminars, forums, training programs and study visit for the development of its members - to collaborate with government agencies and statutory bodies that are beneficial to oil palm ISHF and suppliers of FFB especially in economic, social, and technological improvement - to carry out activities that are legally valid and that are deemed necessary to achieve and accelerate all or part of the above goals to achieve both vision and mission of PERTANIAGA 	Complied
Milestone A	The group manager can demonstrate compliance of the ICS by individual members.	Compliance to ICS by individual members is evaluated by the Group Manager through field visits. During the visit, the Group Manager conducts the field inspection and provide advisory comments. Apart from that internal audit was also conducted to ensure compliance.	Complied

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Milestone B	The group manager can demonstrate compliance of the ICS by individual members.	Compliance to ICS by individual members is evaluated by the Group Manager through field visits. During the visit, the Group Manager conducts the field inspection and provide advisory comments. Apart from that internal audit was also conducted to ensure compliance.	Complied
A.2.2			
Eligibility	The group manager demonstrates understanding of the ISH Standard, group certification and related topics and has sufficient resources to manage the group.	<p>The group manager was able to demonstrate good understanding of the ISH Standard. He had also attended various RSPO & group management related trainings, which among others are:</p> <ul style="list-style-type: none"> - Attended the RT17 in Bangkok, 2016 - Visit the model of certified SH in Jambi, Indonesia 2018 - Various trainings on oil palm plantation - Virtual RSPO ISH Roadshow Malaysia 23-30/11/2020" <p>The PERTANIAGA organisation chart has 11 committee members comprises of both MISI and ISH representatives. Apart from membership fees, the initiative is also funded by P&G through MISI.</p>	Complied
Milestone A	The group manager can demonstrate capacity to manage and operate group certification and certification requirements.	<p>The management system was well established in covering the Plan, Do, Check, Act (PDCA) concept. Based on interview, the Group Manager was able to demonstrate good understanding in implementing the certification requirements.</p> <p>The PERTANIAGA organisation chart has 11 committee members comprises of both MISI and ISH representatives. Apart from membership fees, the initiative is also funded by P&G through MISI.</p>	Complied
Milestone B	The group manager can demonstrate capacity to manage and operate group certification and certification requirements.	The management system was well established in covering the PDCA concept. Based on interview, the Group Manager was able to demonstrate good understanding in implementing the certification requirements.	Complied

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		The PERTANIAGA organisation chart has 11 committee members comprises of both MISI and ISH representatives. Apart from membership fees, the initiative is also funded by P&G through MISI.												
A.2.3														
Eligibility	A group annual training plan is available covering the RSPO Independent Smallholder Standard, group management (which includes group objectives, structure, relevant procedures and the certification process) and other topics as outlined in the ISH Standard.	The group training plan was available this plan covering the whole RSPO independent smallholder standard. For group 1 the training already been conducted as per plan dated on 13/3/2021 and 20-21/3/2021 (Quarter 1). This training included introduction to group and sustainable livelihood, Economic sustainability, environmental sustainability, social sustainability and recap, plan and reap rewards.	Complied											
Milestone A	The group manager implements a phased approach to ensure members have progressively attended training on the ISH Standard, group management and other topics as outlined in the ISH Standard according to the group annual training plan.	The group manager have the phased training plan by group, there 4 group under PERTANIAGA. The Group 2 will be conduct on Quarter 2 and Quarter 3 for FY 21/22, Group 4 on Quarter 4 for FY 21/22 and Quarter 1 for FY 22/23.	Complied											
Milestone B	All members attended training and can demonstrate understanding of the ISH Standard, group management and certification requirements including awareness on BMPs, HCV, environmental protection, social welfare of workers and business operations.	As per sampling below, all sampling smallholder already attend the training.	Complied											
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			20-22/3/2021	Part II Economic sustainability, environmental sustainability, social sustainability and recap, plan and reap rewards	
As per interview with sampling smallholder, they aware regarding the requirement and can demonstrate understanding.					
B – ICS: Policies and management					
B.1 The group Internal Control System contains documented policies and procedures for operational management.					
B.1.1					
Eligibility	A group Internal Control System is available for operational management including procedures of expulsion and sanctions for members who fail to comply, and a procedure to conduct internal audits	Internal audit procedure been using RSPO ISH Standard 2019. As per internal audit report already divide into pre-audit process, actual audit process and Post audit process. This audit process conducted from 22 April 2021 until 7 March 2021 for all 107 smallholder under group 1 This audit method conducted using document review and interview.			Complied

Milestone A	The ICS is implemented and an internal audit is conducted for at least half of the group members and all audit findings are closed	Internal audit already been conducted on 26, 27 and 28 April 2021 with total 107 smallholder. There 6 finding been highlight during this internal audit. The group manager already establish corrective action plan for all finding and record was available at site.	Complied
Milestone B	The ICS is implemented and an annual internal audit of the group is conducted for all group members and all audit findings are resolved.	Internal audit already been conducted on 26, 27 and 28 April 2021 with total 107 smallholder. There 6 finding been highlight during this internal audit. The group manager already establish corrective action plan for all finding and record was available at site.	Complied
B.1.2			
Eligibility	Basic information, farm information, production data, legal documentation of group members and signed Smallholder Declarations are available to the group manager	PERTANIAGA keeps and updates the database of all its members which consist the information about names, land lot number, GPS coordinates of farms, area size, production data, MPOB license No., to name a few. Hardcopy of signed smallholder declaration were also kept in individual file and made available for verification.	Complied
Milestone A	Basic information, farm information, production data, legal documentation of group members and signed Smallholder Declarations are available to the group manager	PERTANIAGA keeps and updates the database of all its members which consist the information about names, land lot number, GPS coordinates of farms, area size, production data, MPOB license No., to name a few. Hardcopy of signed smallholder declaration were also kept in individual file and made available for verification.	Complied
Milestone B	Basic information, farm information, production data, legal documentation of group members and signed Smallholder Declarations are available to the group manager	PERTANIAGA keeps and updates the database of all its members which consist the information about names, land lot number, GPS coordinates of farms, area size, production data, MPOB license No., to name a few. Hardcopy of signed smallholder declaration were also kept in individual file and made available for verification.	Complied
C – ICS: Group Business planning			
C.1 The group has a Business Plan prepared with the participation and contributions of all group members			
C.1.1			

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Eligibility	An annual group business plan is available, which includes <ul style="list-style-type: none"> • Production and income forecasting based on historical records • Plans for expansion 	The annual group business plan for PERTANIAGA was available for FY 2021. This business plan to ensure its member to practice sustainable and good agriculture practice oil palm cultivation activities and to ensure its member obtains RSPO cert under RISS initiative. The total budget was around RM 271000 for 1600 member until year 2023.	Complied
Milestone A	The group business plan is implemented and reviewed at least annually.	This business plan to ensure its member to practice sustainable and good agriculture practice oil palm cultivation activities and to ensure its member obtains RSPO cert under RISS initiative. The total budget was around RM 271000 for 1600 member until year 2023. The business plan was reviewed annually.	Complied
Milestone B	The group demonstrates financial stability and growth, and is able to support itself financially.	The budget and business plan show RM 271000 covered from year 2020 until 2023 was from Procter and Gamble (P&G). this budget support for group included New Ordinary Member (ISHF) and Renewal of Ordinary Member (ISHF).	Complied
C.2 The ICS of the group is integrated with the group's management plan.			
C.2.1			
Eligibility	A group management plan is available which includes: <ul style="list-style-type: none"> • Training/capacity building plans to improve productivity of group members. • An approach to strengthen links within the supply chain. • Plan for continuous improvement projects (i.e. on waste, soil, etc.), if any. 	The group management plan was available this included training needed to improve productivity of group members, continuous improvement plan, and others. The group manager will manage the implementation of the plan and ensure the smallholder	Complied
Milestone A	The group management plan is implemented and reviewed at least annually.	As per interview with group manager, the management will review the management plan yearly basis. The latest management plan available dated Jan 2020.	Complied
Milestone B	The group manager demonstrates the group's compliance with this ISH Standard.	During visit and interview with smallholder, the smallholder was aware regarding the group management plan such as external audit	Complied

		conducted, grouping certification status and others. As per verification from the management plan not using class 1 chemical such as paraquat was verified as per site verification at sampling smallholder.	
D – ICS: Group trading system for certified volumes			
D.1 The group has a procedure and system in place for the tracking of FFB.			
D.1.1			
Eligibility	Record sheets to track the annual production and sales of certified volumes, covering traceability of producers and/or traders are available.	<p>The annual production and sales of certified volumes will be recorded in "Database FFB_ALL CC_652021-AH", where CC = collection centre. Verification of the records sheet through simulation showed that the group manager has a reliable method to maintain annual production records and sales of certified volumes of all the members.</p> <p>Based on site visit, it was observed that there is a risk that the potential certified FFB and non-certified FFB to be mixed especially during transportation from field to collection centre. However, the procedure for the tracking of certified FFB whilst taking this risk into consideration has yet to be established. Thus, a non-conformity was assigned due to this lapse.</p>	Non-compliance
Milestone A	Group manager maintains annual production records and sales of certified volumes.	The annual production and sales of certified volumes will be recorded in "Database FFB_ALL CC_652021-AH", where CC = collection centre. Verification of the records sheet through simulation showed that the group manager has a reliable method to maintain annual production records and sales of certified volumes of all the members.	Complied
Milestone B	Group Manager maintains annual production records and sales of certified volumes of all FFB sources.	The annual production and sales of certified volumes will be recorded in "Database FFB_ALL CC_652021-AH", where CC = collection centre. Verification of the records sheet through simulation showed that the group manager has a reliable method to maintain annual production records and sales of certified volumes of all the members.	Complied

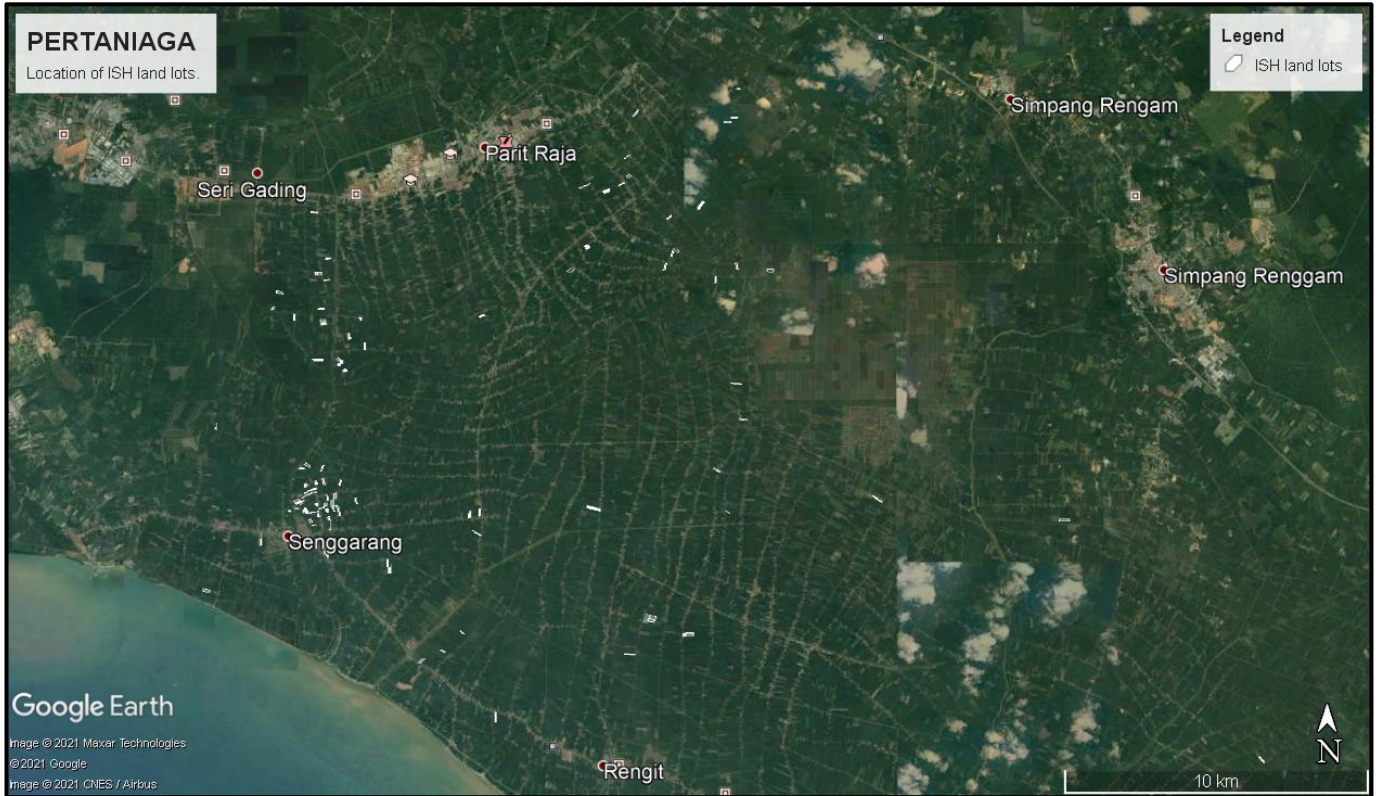
D.2 The group documents and implements a system for the tracking of FFB			
D.2.1			
Eligibility	Not Applicable	NA	Not Applicable
Milestone A	The group manager maintains annual production data and sales of certified volumes through Book and Claim for the group based on actual receipts for and sales by all members	The annual production and sales of certified volumes will be recorded in "Database FFB_ALL CC_652021-AH", where CC = collection centre. Verification of the records sheet through simulation showed that the group manager has a reliable method to maintain annual production records and sales of certified volumes of all the members. Among the sources of data are weighbridge summary report from collection centre and sales receipt from members.	Complied
Milestone B	The group manager maintains annual production data and sales of certified volumes through physical or Book and Claim for the group based on actual receipts and sales for all members and 100% all certified volumes.	The annual production and sales of certified volumes will be recorded in "Database FFB_ALL CC_652021-AH", where CC = collection centre. Verification of the records sheet through simulation showed that the group manager has a reliable method to maintain annual production records and sales of certified volumes of all the members. Among the sources of data are weighbridge summary report from collection centre and sales receipt from members.	Complied
D.3 The group has a procedure and system for premium distribution.			
D.3.1			
Eligibility	The group and group manager have agreed on how the premiums should be used and the agreement is recorded and communicated to the group members. Prices, premiums, and timing of premium payment are clearly communicated and transparent to all group members. Premiums disbursed to members at all stages are recorded and the premiums are paid in a timely and convenient manner.	The SOP on how premium distributed was available dated 20/5/2021. The SOP stated the premium will be pay to smallholder within 2 month after income been verified after physical uptake and credit sale. Found the record of communication and agreement between group and group manager was not available during audit thus Major NC been raised during this audit.	Non-compliance

Milestone A	The disbursement of premiums, including price and timing of the disbursement to group members is clearly recorded.	This audit was stage 2 audit no record of premiums disbursement been made by the group manager.	Complied
Milestone B	The disbursement of premiums, including price and timing of the disbursement to group members is clearly recorded.	This audit was stage 2 audit no record of premiums disbursement been made by the group manager.	Complied

Appendix B: GHG Reporting Executive Summary

Not Applicable for Independent Smallholder certification.

Appendix C: Location Map of Certification Unit and Supply bases



Appendix D: Estate Field Map

Not available

Appendix E: List of Smallholder Registered and sampled

No	Name of farmer	Location	GPS Reference		Area Summary (Ha)		Forecasted annual FFB Production (MT)	Date of joining	Smallholder ID
			Latitude (N)	Longitude (E)	Total Certified Area	Planted Area			
1	Fatimah Binti Abd Aziz	Pt Saidi	01° 45' 20.46"	103° 03' 34.93"	0.6393	0.6393	10.85	26/05/20	PG01-001-01
		Pt Saidi	01° 45' 18.79"	103° 03' 26.02"	0.1448	0.1448	2.46		PG01-001-02
		Pt Bakong	01° 45' 24.35"	103° 03' 08.95"	0.4564	0.4564	7.74		PG01-001-03
		Pt Bakong	01° 45' 25.39"	103° 03' 20.28"	0.3668	0.3668	6.22		PG01-001-04
2	Marinah Othman*	Pt Bakong	01° 45' 35.40"	103° 03' 16.31"	1.3557	1.3557	2.35	26/05/20	PG01-002-01
3	Mohd Azlin Bin Abd Aziz	Pt Bakong	01° 45' 47.22"	103° 03' 21.66"	0.6652	0.6652	14.06	26/05/20	PG01-003-01
4	Md Jidin Bin Sawi	Pt Bakong	01° 47' 55.72"	103° 03' 54.29"	0.9105	0.9105	8.58	26/05/20	PG01-004-01
5	Azizah Binti Adnan	Pt Saidi	01° 45' 35.26"	103° 04' 13.21"	0.9308	0.9308	13.16	26/05/20	PG01-005-01
6	Suraida Binti Ali	Pt Saidi	01° 45' 15.79"	103° 03' 56.71"	2.0032	2.0032	20.18	26/05/20	PG01-006-01
7	Rupiah Binti Ehsan	Sg Punggor	01° 42' 50.31"	103° 05' 48.34"	0.8852	0.8852	7.67	26/05/20	PG01-007-01
8	Ahmad Bin Samin	Sg Punggor	01° 43' 09.81"	103° 06' 09.19"	0.6576	0.6576	10.37	26/05/20	PG01-008-01
		Sg Punggor	01° 42' 39.96"	103° 05' 20.36"	1.8845	1.8845	9.51		PG01-008-02
9	Hod Bin Mustafa	Spg Merbok	01° 48' 00.98"	103° 03' 54.60"	0.9095	0.9095	5.34	26/05/20	PG01-009-01
10	Rosila Binti Ismail	Pt Hamid	01° 45' 27.25"	103° 03' 34.38"	0.3895	0.3895	5.84	26/05/20	PG01-010-01
11	Asiah Binti Karim	Pt Saidi	01° 45' 26.82"	103° 03' 50.65"	0.6500	0.6500	20.06	26/05/20	PG01-011-01
12	Zaikhan Bin Hj Salleh	Pt Saidi	01° 45' 11.64"	103° 03' 44.66"	0.4877	0.4877	8.84	26/05/20	PG01-012-01
13	Ab Rashid Bin Talib	Pt Bakong	01° 45' 26.23"	103° 03' 41.13"	1.0124	1.0124	10.95	26/05/20	PG01-013-01
14	Khairul Firdaus Bin Mohamad	Pt Bakong	01° 45' 39.43"	103° 03' 26.66"	0.7689	0.7689	10.66	26/05/20	PG01-014-01
15	Talib Bin Mahadon	Pt Buloh	01° 44' 30.20"	103° 04' 48.73"	2.0740	2.0740	29.11	26/05/20	PG01-015-01
16	Khairul Mahzuz Bin Mohamad	Pt Kemang	01° 45' 06.68"	103° 03' 27.78"	0.3819	0.3819	10.00	26/05/20	PG01-016-01
17	Abu Amar Bin Samin	Pt Saidi	01° 45' 08.97"	103° 03' 24.70"	0.9965	0.9965	21.25	26/05/20	PG01-017-01
18	Za'ba Bin A.Aziz*	Pt Bakong	01° 45' 33.77"	103° 03' 50.54"	2.1850	2.1850	43.04	26/05/20	PG01-018-01
		Pt Bakong	01° 45' 28.07"	103° 03' 34.30"	0.4130	0.4130	8.14		PG01-018-02
19	Mohd Nizam Bin Ja'afar*	Pt Bakong	01° 45' 23.13"	103° 03' 35.22"	0.9460	0.9460	6.16	26/05/20	PG01-043-01
		Pt Lapis	01° 50' 39.25"	103° 03' 26.35"	0.9974	0.9974	24.63		PG11-001-01
		Pt Kemang	01° 45' 13.66"	103° 06' 14.33"	1.4569	1.4569	35.98		PG11-001-02
20	Siti Ruzana Binti Dahlan	Sg Lurus	01° 43' 59.30"	103° 01' 31.58"	2.289	2.289	28.50	26/05/20	PG01-019-01
21	Sahrul Azhar Bin Suadi	Pt Bakong	01° 48' 50.99"	103° 06' 31.52"	1.1812	1.1812	26.07	26/05/20	PG01-020-01
22	Rozalina Binti Adam	Pt Bakong	01° 45' 31.43"	103° 03' 07.83"	0.7500	0.7500	10.40	26/05/20	PG01-021-01
23	NorHashimah Binti Hashim	Spg Merbok	01° 48' 01.04"	103° 03' 39.56"	0.8136	0.8136	7.35	26/05/20	PG01-022-01
24	Aziz Bin Hassan	Pt Bakong	01° 45' 40.72"	103° 03' 53.24"	1.6583	1.6583	4.61	26/05/20	PG01-023-01
25	Siti Hairaney Binti Bachok@Daud	Pt Lintang	01° 48' 43.50"	103° 03' 42.85"	1.6794	1.6794	27.59	26/05/20	PG01-024-01
26	Mohd Asri Bin Hashim	Spg Merbok	01° 48' 01.80"	103° 04' 00.62"	0.9105	0.9105	15.41	26/05/20	PG01-025-01
27	Mazni Binti Mustafa	Pt Bakong	01° 45' 27.94"	103° 03' 10.91"	0.7487	0.7487	6.92	26/05/20	PG01-026-01
28	Mustafa Bin Udin (Mazni Binti Mustafa)	Pt Hamid	01° 45' 54.49"	103° 03' 59.14"	0.9839	0.9839	8.73	26/05/20	PG01-026-02
29	Kamariah Binti Siran	Pt Saidi	01° 45' 18.96"	103° 03' 17.13"	0.4603	0.4603	9.53	26/05/20	PG01-027-01

No	Name of farmer	Location	GPS Reference		Area Summary (Ha)		Forecasted annual FFB Production (MT)	Date of joining	Smallholder ID
			Latitude (N)	Longitude (E)	Total Certified Area	Planted Area			
		Pt Permatang	01° 45' 22.56"	103° 03' 03.12"	0.3617	0.3617	4.23		PG01-027-02
30	Niston Bin Hashim	Pt Jepun	01° 48' 00.81"	103° 03' 36.28"	0.9105	0.9105	8.22	26/05/20	PG01-028-01
31	Faisal @ Mohd Faisal Bin Harith*	Pt Kemang	01° 45' 01.44"	103° 03' 48.06"	0.5300	0.5300	17.26	26/05/20	PG01-039-01
32	Rohana Binti Ramli	Pt Hamid	01° 46' 08.55"	103° 03' 15.58"	1.1458	1.1458	26.71	26/05/20	PG01-030-01
33	Azmah Binti Zaini	Pt Mengkudu	01° 41' 47.54"	103° 06' 43.46"	0.6829	0.6829	9.86	26/05/20	PG01-031-01
34	Aminuddin Bin Md Desah	Pt Simpan	01° 46' 47.99"	103° 01' 44.55"	0.7588	0.7588	3.89	26/05/20	PG01-032-01
35	Zainab Binti Md Desah	PT Lapis Bakong	01° 45' 18.40"	103° 03' 20.17"	1.3127	1.3127	0.55	26/05/20	PG01-033-01
36	Rosman Bin Saat	Pt Buloh	01° 44' 24.68"	103° 04' 51.79"	0.5817	0.5817	2.81	26/05/20	PG01-034-01
37	Siti Mariam Binti Hashim	Pt Bakong	01° 45' 44.46"	103° 03' 32.00"	2.0083	2.0083	9.56	26/05/20	PG01-035-01
38	Habibah binti Jelani	Pt Penghulu	01° 44' 58.42"	103° 02' 34.42"	0.9687	0.9687	2.64	26/05/20	PG01-036-01
39	Umar Bin Sapuan	Pt Botak	01° 44' 54.52"	103° 06' 27.61"	1.1255	1.1255	20.99	26/05/20	PG01-037-01
40	Alias A Karim*	Pt Saidi	01° 45' 12.00"	103° 03' 47.52"	0.2883	0.2883	5.29	26/05/20	PG01-038-01
41	Rahmat Bin Mohd Lasim	Pt Sri Bahrom	01° 45' 21.66"	103° 08' 32.46"	0.6070	0.6070	15.51	26/05/20	PG01-039-01
42	Hanim Binti Hashim	Spg Merbok	01° 47' 52.66"	103° 04' 04.15"	0.9095	0.9095	19.65	26/05/20	PG01-040-01
43	Miskan Bin Tukiran	Pt Saidi	01° 45' 19.37"	103° 03' 42.99"	0.8220	0.8220	19.24	26/05/20	PG01-041-01
44	Mohd Daud Bin Hashim	Spg Merbok	01° 48' 03.32"	103° 03' 27.67"	1.1099	1.1099	25.10	26/05/20	PG01-042-01
45	Sumadi Bin Surijan	Pt Nipah	01° 50' 01.32"	103° 09' 53.36"	1.8211	1.8211	17.89	26/05/20	PG02-001-01
46	Mokasan Bin Tamyiz	Pt Bingan	01° 51' 10.44"	103° 09' 00.96"	0.7207	0.7207	12.38	26/05/20	PG02-002-01
47	Jamaludin Bin Tarseh	Pt Haji Ali	01° 49' 59.16"	103° 08' 20.56"	1.9475	1.9475	37.22	26/05/20	PG02-003-01
48	Razali Bin Jamat	Machap	01° 49' 38.68"	103° 11' 33.31"	2.0200	2.0200	14.90	26/05/20	PG02-004-01
49	Paiman Bin Kasman	Pt Londang	01° 48' 03.32"	103° 03' 27.67"	1.2141	1.2141	13.29	26/05/20	PG02-005-01
50	Misbah Bin Sukarno*	PT Bingan	01° 52' 49.87"	103° 11' 04.04"	0.5059	0.5059	9.11	26/05/20	PG02-006-01
51	Abu Bin Dolah	Pt Nipah	01° 49' 46.20"	103° 10' 59.52"	1.4316	1.4316	22.05	26/05/20	PG02-007-01
52	Manap Bin Ismail	Pt Haji Ali	01° 49' 31.21"	103° 10' 39.36"	1.1205	1.1205	12.93	26/05/20	PG02-008-01
		Pt Haji Ali	01° 49' 44.91"	103° 09' 46.08"	1.4490	1.4490	16.72		PG02-008-02
53	Tomiran Bin Bahri	Pt Sri Paya	01° 50' 43.80"	103° 10' 17.76"	2.8530	2.8530	60.29	26/05/20	PG02-009-01
54	Mohd Kodori Bin Salam	Pt Sonto	01° 52' 14.88"	103° 10' 53.76"	1.2140	1.2140	27.00	26/05/20	PG02-010-01
55	Mohd Noh Bin Muri	Pt Nipah	01° 51' 02.16"	103° 08' 37.32"	2.0384	2.0384	36.14	26/05/20	PG02-011-01
		Olak Batu	01° 55' 05.52"	103° 07' 41.52"	0.9358	0.9358	16.59		PG02-011-02
56	Supian Bin Sapuan	Pt Londang	01° 50' 32.64"	103° 09' 45.36"	0.9131	0.9131	15.60	26/05/20	PG02-012-01
57	Jemail Bin Imam*	Pt Sri Paya	01° 51' 40.44"	103° 09' 06.25"	0.7400	0.7400	14.82	26/05/20	PG02-013-01
58	Md Khudzairi Bin Jabar	Pt Masjid	01° 49' 38.82"	103° 08' 07.84"	2.6680	2.6680	22.94	26/05/20	PG02-014-01
59	Siti 'Asri Binti Deri	Pt Lapis	01° 50' 15.91"	103° 08' 34.74"	1.3152	1.3152	25.8	26/05/20	PG02-015-01
60	Mohd Nazir Bin Pasimen	Pt Lapis Semarang	01° 52' 28.07"	103° 09' 16.19"	1.2646	1.2646	16.10	26/05/20	PG02-016-01
61	Marsi Bin Selamat	Pt Santo	01° 52' 19.70"	103° 10' 54.48"	1.2141	1.2141	9.67	26/05/20	PG02-017-01
		Pt Nipah	01° 49' 57.87"	103° 09' 51.74"	0.9080	0.9080	7.23		PG02-017-02
62	Md Ramlan Bin Sawiyo	Pt Nipah	01° 51' 10.05"	103° 08' 23.85"	0.7487	0.7487	6.31	26/05/20	PG02-018-01
63	Mozni Bin Hj Hassan	Pt Jambi	01° 47' 00.61"	103° 11' 03.41"	1.3130	1.3130	15.28	26/05/20	PG04-001-01
64	Ahmad Jamil Bin Othman	Pt Abas	01° 45' 29.92"	103° 13' 35.36"	1.8310	1.8310	54.32	26/05/20	PG04-002-01

No	Name of farmer	Location	GPS Reference		Area Summary (Ha)		Forecasted annual FFB Production (MT)	Date of joining	Smallholder ID
			Latitude (N)	Longitude (E)	Total Certified Area	Planted Area			
65	Ahmad Affandi Bin Siraj	Pt Jambi	01° 46' 02.39"	103° 10' 41.81"	1.0314	1.0314	27.72	26/05/20	PG04-003-01
66	Mohd Jamhari Bin Kamari	Pt Bagan	01° 45' 18.81"	103° 10' 53.28"	0.2600	0.2600	5.24	26/05/20	PG04-004-01
67	Saiful Amrin Bin Sudin	Pt Jambi Darat	01° 47' 36.99"	103° 10' 54.01"	1.5640	1.5640	9.21	26/05/20	PG04-005-01
68	Mohd Fauzi Bin Abdul Majid	Pt No.2 Rengit	01° 43' 25.26"	103° 09' 21.29"	1.2646	1.2646	26.47	26/05/20	PG04-006-01
		Sg Kluang	01° 43' 25.38"	103° 09' 21.22"	3.4348	3.4348	71.89		PG04-006-02
69	Musa Bin Khalid	Pt Jambi	01° 43' 11.30"	103° 10' 02.59"	0.6600	0.6600	22.30	26/05/20	PG04-007-01
		Pt Jambi	01° 43' 10.49"	103° 10' 02.68"	2.1700	2.1700	74.67		PG04-007-02
70	Mohd Zokrat Bin Moktar	Pt Besar	01° 43' 30.84"	103° 09' 28.52"	2.5040	2.5040	47.71	26/05/20	PG04-008-01
		Pt Besar	01° 43' 26.83"	103° 09' 26.89"	1.7600	1.7600	33.54		PG04-008-02
71	Idora Binti M.Nor	Sg Kluang	01° 42' 50.08"	103° 09' 01.22"	1.0690	1.0690	22.34	26/05/20	PG04-009-01
72	Sahani Bin Nasran	Ulu Benut 3	01° 45' 10.55"	103° 16' 44.69"	3.2324	3.2324	37.60	26/05/20	PG04-010-01
73	Mohd Kosni Bin Jasman	Pt Lintang	01° 48' 42.70"	103° 03' 42.89"	1.8944	1.8944	35.25	26/05/20	PG03-001-01
		Pt Sri Pandan	01° 48' 47.48"	103° 04' 19.85"	0.4585	0.4585	8.53		PG03-001-02
74	Rahmat Bin Jemeran	Pt Kasipan	01° 48' 56.01"	103° 03' 40.50"	1.1205	1.1205	8.94	26/05/20	PG03-002-01
75	Othman Bin Teman	Pt Kasipan	01° 49' 27.46"	103° 03' 40.08"	0.6070	0.6070	12.15	26/05/20	PG03-003-01
		Pt Kasipan	01° 49' 32.19"	103° 03' 33.51"	0.7124	0.7124	14.26		PG03-003-02
76	Mahrof Bin Osman	Ulu Sg Senggarang	01° 49' 11.95"	103° 02' 56.09"	1.6668	1.6668	43.91	26/05/20	PG03-004-01
77	Md Zin Bin Junit	Pt Sri Muar	01° 48' 30.56"	103° 04' 05.84"	1.1660	1.1660	21.59	26/05/20	PG03-005-01
78	Ruslan Bin Teman	Pt Kasipan	01° 49' 49.59"	103° 03' 47.39"	0.9308	0.9308	25.53	26/05/20	PG03-006-01
79	Ismail Bin Ramli*	Kg Pt Japan	01° 48' 59.43"	103° 02' 45.83"	0.7770	0.7770	16.38	26/05/20	PG03-007-01
		Pt Sri Pandan	01° 48' 51.08"	103° 03' 09.58"	0.9080	0.9080	19.15		PG03-007-01
80	Manoor Bin Jemeran	Pt Sri Muar	01° 48' 13.14"	103° 04' 23.15"	0.9448	0.9448	14.20	26/05/20	PG03-008-01
81	Patkar Bin Tamsir	Pt Menangis	01° 40' 53.83"	103° 20' 23.86"	1.3570	1.3570	43.27	26/05/20	PG05-001-01
82	Abu Bakar Bin Sahuri	Pt Haji Salleh	01° 38' 38.30"	103° 18' 15.02"	0.8800	0.8800	29.64	26/05/20	PG05-002-01
83	Watini Binti Semaon	Pt Panjang	01° 36' 52.43"	103° 21' 00.22"	0.6460	0.6460	11.97	26/05/20	PG06-001-01
84	Hishamudin Bin Shazali @ Sulaiman	Pt Ali	01° 35' 50.10"	103° 21' 03.07"	2.3950	2.3950	74.06	26/05/20	PG06-002-01
85	Rosman Alip	Ulu Sg Ayer Baloi	01° 38' 47.54"	103° 24' 22.57"	2.3340	2.3340	29.27	26/05/20	PG06-003-01
		Pt Haji Kahar	01° 37' 44.13"	103° 21' 08.12"	1.2140	1.2140	26.22		PG06-003-02
86	Suratmen Bin Mosman	Kg Pt Panjang	01° 37' 31.53"	103° 21' 36.26"	1.2031	1.2031	8.78	26/05/20	PG06-004-01
87	Salim Bin Salleh*	Pt Haji Elias	01° 37' 28.16"	103° 21' 42.91"	0.7488	0.7488	4.33	26/05/20	PG06-004-02
		Pt Haji Elias	01° 38' 34.86"	103° 22' 30.81"	0.9029	0.9029	19.31		PG06-005-01
88	Amat Bin Ngaimon*	Pt Jelutong	01° 37' 56.32"	103° 21' 35.78"	0.9633	0.9633	29.25	26/05/20	PG06-006-01
89	Tukimin Bin Hussin	Pt Panjang	01° 38' 20.44"	103° 22' 03.67"	1.2720	1.2720	33.00	26/05/20	PG06-007-01
90	Esah Binti Dagimi	Pt Panjang	01° 38' 37.62"	103° 22' 33.43"	0.9885	0.9885	21.32	26/05/20	PG06-008-01
91	Zulkifli Bin Kamin*	Pt Panjang	01° 38' 32.84"	103° 22' 20.82"	0.9105	0.9105	23.63	26/05/20	PG06-009-01
		Pt Panjang	01° 38' 29.54"	103° 22' 27.11"	0.4950	0.4950	12.85		PG06-009-02
92	Hadini Binti Ashari	Pt Haji Salleh	01° 33' 04.35"	103° 24' 31.05"	1.4000	1.4000	22.20	26/05/20	PG07-001-01
93	Othman Bin Hj Kasan	Pt Semerah	01° 31' 44.91"	103° 25' 40.66"	3.6700	3.6700	33.46	26/05/20	PG07-002-01

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No	Name of farmer	Location	GPS Reference		Area Summary (Ha)		Forecasted annual FFB Production (MT)	Date of joining	Smallholder ID
			Latitude (N)	Longitude (E)	Total Certified Area	Planted Area			
94	Jamal Bin Ahmad	Pt Baru Selangor	01° 31' 53.06"	103° 27' 03.46"	1.0041	1.0041	7.88	26/05/20	PG07-003-01
95	Saimon Bin Harun	Pt Selangor	01° 32' 18.49"	103° 26' 17.35"	1.8039	1.8039	28.81	26/05/20	PG07-004-01
96	Hamisah Binti Nasir	Pt Patah	01° 30' 32.65"	103° 25' 07.96"	1.5529	1.5529	33.06	26/05/20	PG07-005-01
97	Abd Rahim Bin Amil	Pt Jawa	01° 31' 48.56"	103° 24' 11.62"	1.8051	1.8051	35.87	26/05/20	PG07-006-01
98	Paiman Bin Sapar @ Safar	Pt Selangor	01° 32' 00.92"	103° 26' 36.74"	1.4256	1.4256	16.07	26/05/20	PG07-007-01
99	Md Sudirman bin Sumardi*	Pt Semerah	01° 31' 07.35"	103° 25' 11.30"	1.4153	1.4153	22.54	26/05/20	PG07-008-01
100	Haniza Binti Omar	Kg Baharu	01° 44' 31.84"	103° 03' 44.47"	0.6070	0.6070	12.10	26/05/20	PG09-001-01
101	Shariff (Noor) Bin Hj Omar	Pt Kemang	01° 45' 14.90"	103° 06' 25.02"	0.9864	0.9864	15.15	26/05/20	PG10-001-01
102	Fatimah Binti Ismail	Pt Saidi	01° 45' 19.12"	103° 03' 35.24"	0.8271	0.8271	6.96	26/05/20	PG10-002-01
		Pt Hamid	01° 45' 55.89"	103° 03' 38.00"	1.4100	1.4100	5.54		PG10-003-01
		Kg Sg Mahang	01° 46' 04.16"	103° 03' 36.80"	0.8397	0.8397	8.79		PG10-003-02
103	Salleh Bin Hj Zakaria	Pt Bakong	01° 45' 27.95"	103° 03' 15.17"	0.7031	0.7031	15.62	26/05/20	PG10-004-01
104	Zakariya Bin Kasim	Pt Hamid	01° 45' 52.60"	103° 03' 30.14"	0.3586	0.3586	2.55	26/05/20	PG10-005-01
		Pt Hamid	01° 45' 46.43"	103° 03' 20.20"	0.4806	0.4806	3.42		PG10-005-02
105	Hishamudin Bin Ali	Pt Bakong	01° 45' 38.48"	103° 03' 42.70"	0.4100	0.4100	8.98	26/05/20	PG10-006-01
106	Ab Rashid Bin Johar	Pt Bakong	01° 45' 31.32"	103° 03' 16.24"	0.2947	0.2947	5.59	26/05/20	PG10-007-01
107	Zuriah Asmijan	Pt Hamid	01° 46' 13.23"	103° 03' 21.16"	1.5631	1.5631	24.50	26/05/20	PG10-008-01
Total					151.3579	151.3579	2,448.86		

Note: * are smallholders sampled in this audit.

Pt – Parit
Kg – Kampung
Spg – Simpang
Sg - Sungai

Appendix F: List of Abbreviations

a.i	Active Ingredient
BOD	Biochemical Oxygen Demand
CB	Certification Bodies
CHRA	Chemical Health Risk Assessment
COD	Chemical Oxygen Demand
CPO	Crude Palm Oil
CSPO	Certified Sustainable Palm Oil
CSPKO	Certified Sustainable Palm Kernel Oil
EFB	Empty Fruit Bunch
EHS	Environmental, Health and Safety
EIA	Environmental Impact Assessment
EMS	Environmental Management System
FFB	Fresh Fruit Bunch
FPIC	Free, Prior, Informed and Consent
GAP	Good Agricultural Practice
GHG	Greenhouse Gas
GMP	Good Manufacturing Practice
GPS	Global Positioning System
HCV	High Conservation Value
IPM	Integrated Pest Management
IP	Identity Preserved
IS - CSPO	Independent Smallholder Certified Sustainable Palm Oil
IS – CSPKO	Independent Smallholder Certified Sustainable Palm Kernel Oil
IS – CSPKE	Independent Smallholder Certified Sustainable Palm Kernel Expeller
ISCC	International Sustainable Carbon Certification
ISS	Independent Smallholder Standard
LD50	Lethal Dose for 50 sample
MB	Mass Balance
MSDS	Material Safety Data Sheet
MT	Metric Tonnes
OER	Oil Extraction Rate
OSH	Occupational Safety and Health
PK	Palm Kernel
PKO	Palm Kernel Oil
POM	Palm Oil Mill
POME	Palm Oil Mill Effluent
PPE	Personal Protective Equipment
RSPO	Roundtable on Sustainable Palm Oil
P&C	Principles & Criteria
RTE	Rare, Threatened or Endangered species
SCCS	Supply Chain Certification Standard
SEIA	Social & Environmental Impact Assessment
SIA	Social Impact Assessment
SOP	Standard Operating Procedure